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# **Impact of Diabetes Complications on Breast Cancer Screening, Diagnosis, and Prognosis among Elderly Women with Pre-existing Diabetes Using the SEER-Medicare Dataset**

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**Dissertation submitted to the School of Pharmacy  
at West Virginia University**

**in partial fulfillment of the requirements for the degree of**

**Doctor of Philosophy in  
Health Services and Outcomes Research**

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**Keywords: Breast Cancer; Diabetes; Elderly; Screening, Stage Mortality**

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## ABSTRACT

### **Impact of Diabetes Complications on Breast Cancer Screening, Diagnosis, and Prognosis among Elderly Women with Pre-existing Diabetes Using the SEER-Medicare Dataset**

**Ebtihag O. Alenzi**

Diabetes has been linked to lower rates of breast cancer (BC) screening, late stage of BC at diagnosis, and high mortality of incident BC. Up to date, no study has investigated the influence of diabetes complications and their severity on this linkage. The aims of the study were to explore the association between severity of diabetes-related complications and persistence with screening mammography in elderly women with diabetes; to check the association of diabetes complications severity with stage of BC at diagnosis in elderly women with incident BC and pre-existing diabetes; and to assess the effect of diabetes-related complications severity on all-cause mortality within 3 years of a BC diagnosis in elderly women with pre-existing diabetes. Aim 1 was conducted using the 5% random sample of linked Surveillance, Epidemiology, and End Results (SEER)-Medicare data among 16,526 elderly women with diabetes who were free of cancer during the years 2002 to 2008. Aim 2 was conducted using SEER-Medicare data among 7,729 elderly women with incident BC and pre-existing diabetes during the years 2004–2011. Aim 3 was conducted among a cohort of women age  $\geq 67$  years diagnosed with BC in 2007 to 2011 and pre-existing diabetes ( $N = 4,307$ ). Chi-square tests were used to examine the significant differences in characteristics of the study cohorts by persistence with screening mammogram, stage of BC at diagnosis, and three-year mortality, respectively. Multinomial logistic regressions were used to check the association of diabetes complications severity with persistence with screening mammography and stage of BC at diagnosis. Hazards ratios (HR) of all-cause mortality within 3 years of BC diagnosis was estimated using unadjusted and adjusted Cox proportional hazards models to compare time to death based on diabetes complications severity index (DCSI). Overall, having high severity of diabetes complications was significantly associated with a decrease in the likelihood of receiving breast cancer screening as compared to those without diabetes complications. Among elderly women with diabetes, those with a DCSI  $\geq 5$  were significantly less likely to use screening mammogram (either persistent use (odds ratio (OR) = 0.08; 95% confidence intervals (CI) = 0.07-0.10) or non-persistent use (OR = 0.32; 95% CI = 0.28-0.37)), as compared to those without diabetes complications. Also, the severity of diabetes complications was no longer an independent predictor of BC stage II or advanced stage (III/IV) at diagnosis. However, women with DCSI = 2 were significantly 26% more likely to be diagnosed at stage I (versus stage 0) of BC at diagnosis as compared to those without diabetes complications (OR = 1.26; 95% CI = 1.03-1.53). In addition, severity of diabetes complication was significantly associated with all-cause mortality within three years of BC diagnosis. Women with a DCSI = 1, DCSI = 2, and DCSI  $\geq 3$  had 34% (hazard ratios (HR) = 1.34; 95% CI = 1.02-1.75), 69% (HR = 1.69; 95% CI = 1.39-2.05), and 124% (HR = 2.24; 95% CI = 1.86-2.70) increased risk of death within 3 years after BC diagnosis as compared to those without diabetes complications. The association between diabetes and worse BC outcomes could be predicted by severity of diabetes complications since this severity has negative consequences on screening mammography, diagnosis, and prognosis.

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## **CHAPTER ONE**

### **INTRODUCTION**

## INTRODUCTION

Diabetes mellitus (DM) is a major public health issue that has grown worldwide in conjunction with aging of populations, urbanization, and unhealthy behaviors (Shikata, Ninomiya, & Kiyohara, 2013). According to the American Diabetes Association (ADA), the annual incidence of diabetes in the US was 1.7 million in 2012 (Diabetes.org, 2015). It is associated with many complications and comorbidities that are responsible for impairing quality of life and increasing mortality in affected individuals (Zimmet, Alberti, & Shaw, 2001). About one third of individuals with DM are elderly with ages  $\geq 65$  year who are disproportionately at the highest risk of developing complications due to the potentially longer duration of disease and aging process (Corriere, Rooparinesingh, & Kalyani, 2013).

A large body of literature suggests that individual with diabetes have a significantly higher risk of cancer than those without diabetes (Barone et al., 2008; Giovannucci et al., 2010; Lam et al., 2011; Liao et al., 2011; Lipscombe et al., 2008; Tudzarova & Osman, 2015; Vigneri et al., 2009). One of the most common types of cancer is breast cancer (BC) with about 1.7 million new cases worldwide in 2012 and more than 50% of cases occurring in women aged  $\geq 65$  years (wcrf.org, 2015; Muss, 2010).

Many epidemiological studies have showed that women with DM have a significantly higher risk of incident breast cancer than women without diabetes (Boyle et al., 2012; Larsson, Mantzoros, & Wolk, 2007; Liao et al., 2011). A meta-analysis by Boyle et al., showed that the risk of BC in women with type 2 diabetes has increased by 27%, even after controlling for body mass index (BMI) (Boyle et al., 2012). Other studies demonstrated that the prevalence of pre-existing DM among incident BC was from 16% to 20% (Bao et al., 2015; Tammemagi et al.,

2005), especially among postmenopausal women (Cleveland et al., 2012). A study by Cleveland et al., showed that postmenopausal women with DM are at higher risk of developing incident breast cancer than those without DM (Cleveland et al., 2012). Taken together, these studies reveal that DM is a well-established independent risk factor for breast cancer.

### **Diabetes and BC Screening**

Since diabetes is associated with increased risk of BC, regular BC screenings for women with DM is essential. The American College of Obstetricians and Gynecologists (ACOG) guideline strongly recommends starting regular annual mammography screening for women at age 40 years (ACOG, 2016). The American Cancer Society (ACS) recommends starting annual mammogram screening at age 45 years, and women can switch to biennial mammogram screening at age 55 years (Oeffinger et al., 2015). However, the US Preventive Services Task Force (USPSTF) recommends biennial screening mammography for women aged 50-74 years (Table 1) ( USPSTF, 2016).

Studies have been shown that having regular BC screening decrease the likelihood of late stage diagnosis and mortality from incident BC (McCarthy et al., 2000; Vyas, Madhavan, & Sambamoorthi, 2014). A previous study by McCarthy et al., found that elderly women who had regular screening mammography were diagnosed with an earlier stage of disease and had lower mortality rates as compared to non-users of screening mammography (McCarthy et al., 2000). Also, a recent study by Vyas et al. showed that women with persistent mammography screening were more likely to be diagnosed at earlier stages of BC as compared to non-persistent women or non-users (Vyas et al., 2014).



Although regular screening mammography has been shown to reduce morbidity and mortality due to breast cancer, studies have shown lower rates of BC screening use among elderly women with DM as compared to those without DM (Beckman et al., 2001; Fleming, Love, & Bennett, 2011; Lipscombe, Hux, & Booth, 2005; Luo et al., 2015; McBean & Yu, 2007). A study by Lipscombe et al. found that despite frequent primary health care visits, women with DM were significantly less likely to have a biennial mammogram than women without diabetes (Lipscombe et al., 2005). Another study among women with DM in Kentucky found that women with diabetes were half as likely to have regular screening mammography as compared to those without diabetes (Fleming et al., 2011). Lipscombe et al. indicated that the complexity of diabetes care could compete with the provision of women's preventive care services (Lipscombe et al., 2005). Further, a recent study showed that women who had diabetes for more than two years have lower rates of BC screening as compared to those without DM (Sanderson et al., 2014). This could explain the impact of diabetes duration on persistence with BC screening among women with DM. However, persistence with BC screening among women with DM could be affected by other diabetes-related factors, including diabetes severity and diabetes-related complications.

### **Diabetes and BC Diagnosis**

In addition to lower rates of screening mammography use, the literature also indicated that women with pre-existing DM were more likely to be diagnosed with advanced stages of BC as compared to those without DM (Lipscombe et al., 2015; Luo et al., 2015; van de Poll-Franse et al., 2007). One study by Luo et al., showed that women with DM were more likely to be diagnosed with advanced stage of BC as compared to those without DM (Luo et al., 2015). A study conducted among Canadian women with BC showed that women with diabetes were 21 %

and 16% more likely to be diagnosed with Stage III and Stage IV of BC, respectively, than Stage I. (Lipscombe et al., 2015). This association was significant even after controlling for mammogram use (Lipscombe et al., 2015).

### **DM and BC Prognosis**

The above findings may suggest that women with diabetes are predisposed to developing more aggressive BC, which may contribute to higher cancer mortality. Many studies have found that among women with BC, DM was associated with 40% higher mortality rate than women without DM (Lipscombe et al., 2008; Luo et al., 2015; Verlato et al., 2003). One study conducted among a cohort of women in United Kingdom found that women with BC and diabetes had a higher risk of all-cause mortality as compared to those without diabetes (Redaniel et al., 2012). Among Asian patients with early stage BC, DM was an independent predictor of lower BC survival and overall survival rates (Chen et al., 2012). Another prospective cohort study provided additional evidence that pre-existing DM increases the risk of all-cause total mortality among women with BC, but it was not associated with increased risk of breast cancer-specific mortality (Luo et al., 2014). Thus, a careful attention should be paid to pre-existing DM and its related factors that contribute to this risk among incident BC.

### **Shared Risk Factors in Diabetes & BC**

In general, there are common factors that could lead to diabetes and BC. Women with DM are more likely to have factors related to delayed diagnosis and high mortality rates from incident BC (Luo et al., 2015). In fact, women with DM are more likely to be obese, older, and have more chronic comorbidities, compared to those without DM (Garg et al., 2014; Giovannucci et al., 2010; Vona-Davis & Rose, 2012; Zanders et al., 2013). Other studies showed

older women with diabetes had a greater risk of developing BC than younger women with DM (VanderWalde & Hurria, 2012; Verhaeghe, 2009). However, there are other potential factors closely related to DM that may explain the late stage diagnosis and worse prognosis of incident BC among women with pre-existing DM.

### **Potential Factors Contributing to the Association between Diabetes & BC**

One main factor that may contribute to the association between DM and BC is dysregulation in insulin and steroid hormones. Hyperinsulinemia and steroid hormonal changes commonly occur in women with DM as part of its pathophysiological process and are considered to be potentially carcinogenic conditions for the breast (Salpeter et al., 2006). Insulin resistance, hyperinsulinemia, and chronic inflammatory factors in DM are strongly associated with BC (Handelsman et al., 2013; Sun & Kashyap, 2011). These changes in DM could become worse during menopause, and thereby make a woman with DM at a higher risk of having BC after menopause (Ding et al., 2007; Golden et al., 2007; Key et al., 2002; Verhaeghe, 2009). During menopause, women with DM are exposed to a sudden hypersecretion of androgen and estrogen hormones, and the boost of these hormones secretion in women with DM promotes cancer cells growth (Ding et al., 2006; Ding et al., 2007; Golden et al., 2007). One study revealed that DM was associated with a 20-25% increase in BC risk, mainly hormone-receptor-positive cancers (Larsson et al., 2007). In this type of hormone-receptor-positive cancer, cancer cells have receptors for estrogen or progesterone, so they are called either estrogen-receptor-positive (ER+) or progesterone-receptor-positive (PR+). These hormones send signals to cancer cells to enhance their proliferation (Breastcancer.org, 2015). Thus, these cancer-related mechanisms among elderly women with DM could synergistically act to promote BC development in advanced stages and to worsen the prognosis of incident BC (van de Poll-Franse et al., 2007).

In addition to insulin resistance and dysregulation of steroid hormones, DM could worsen the outcomes of BC treatment. Therefore, physicians may need to modify BC treatment for women with DM. In a systematic review by Peairs et al., three studies demonstrated that patients with BC and diabetes received modified breast cancer treatment as compared to those without diabetes (Peairs et al., 2011; Srokowski et al., 2009; van de Poll-Franse et al., 2007; Yancik et al., 2001). Van de Poll-Franse et al, showed that patients with diabetes and breast cancer were more likely to receive surgery and/or hormonal therapy, but less likely to receive chemotherapy and/or radiotherapy as compared to women without diabetes (van de Poll-Franse et al., 2007). The study conducted by Srokowski et al found that women with BC and DM were less likely to receive anthracyclines and taxanes as compared to women without DM (Srokowski et al., 2009). This data begs the question “why”. What were the author explanations for the differential treatment of women with DM and BC? This discrepancy needs to be further expanded for the reader.

Pre-existing diabetes is also contraindicated with some medications and therapies of BC, thus presenting certain challenges that make cancer treatment decisions difficult and complicated. Previous studies showed that pre-existing DM predisposes women with BC to a higher risk of a chemotherapy-related toxicity as compared to those without pre-existing DM (Peairs et al., 2011; Psarakis, 2006; Srokowski et al., 2009). Thus, women with BC and pre-existing DM are usually less likely to receive chemotherapy as compared to those without DM. Two studies have shown that women with DM were less likely to receive chemotherapy during the six months that follow a BC diagnosis and had higher all-cause mortality than those without DM (Srokowski et al., 2009; van de Poll-Franse et al., 2007). Furthermore, women with diabetes

who underwent cancer surgery were more likely to die in the month following their operations than those who have cancer but without diabetes (hopkinsmedicine.org, 2016).

Therefore, all the above-mentioned factors could predict a worse prognosis of incident BC among women with pre-existing diabetes.

### **The Role of Diabetes-related Complications Severity**

As was discussed above, there are many factors related to the association between diabetes and BC. However, studies have not adjusted for diabetes severity. We do not know whether the presence of diabetes (controlled) or the severity of diabetes (uncontrolled) and its complications contribute to lower screening, advanced stage at diagnosis, and poorer prognosis for breast cancer. Therefore, we need to examine the independent role of diabetes severity on BC screening, diagnosis and prognosis.

The main significant indicators of diabetes severity are diabetes-related complications (Hogan, Dall, & Nikolov, 2003; Selby et al., 1997; Simon et al., 2005; Tomlin et al., 2006). The most frequent diabetes-related complications are cardiovascular diseases and atherosclerosis, and the main troublesome complications in the elderly are heart and kidney insufficiencies (Chentli et al., 2015). These complications could exacerbate chemotherapy-induced toxicity and worsen cancer symptoms (Psarakis, 2006; Malik et al., 2016; Morsy & Heeba, 2016; Volkova & Russell, 2011).

Further, research has indicated that diabetes complications are independent predictors of hospitalization and mortality among individuals with DM (Hogan et al., 2003; Selby et al., 1997; Simon et al., 2005; Tomlin, Dovey, & Tilyard, 2008; Tomlin et al., 2006). Diabetes complications account for more than 35% of the health care utilizations for individuals with

diabetes (Hogan et al., 2003). Thus, an indicator, the diabetes complications severity index (DCSI), was developed to capture the severity of illness and care requirements (Young et al., 2008; Rosenzweig et al., 2002). This indicator was first developed by Young and colleagues to include 7 categories of diabetes complications: cardiovascular disease, nephropathy, retinopathy, peripheral vascular disease, cerebrovascular, neuropathy, and metabolic complications (Young et al., 2008), and these complications were identified using laboratory data and International Classification of Diseases, Ninth Edition, Clinical Modification (ICD-9-CM) diagnosis code to represent gradations of the diabetes complications severity (Young et al., 2008). The index for each complication was categorized into 2 or 3 levels (no abnormality = 0, some abnormality = 1, and severe abnormality = 2), based on the presence and severity of the complication. The indices of all complications were added together to get the DCSI which is a 13-point scale with a range of 0-13. Using this scale, Wu et al found that compared to patients without complications (DCSI =0), those with more complications (higher DCSI score) had an increased risk of higher healthcare utilization (Wu et al., 2012).

### **Need for the Study**

Since DM has a complex relationship with BC, many researchers have emphasized the need for well-designed studies to include a comprehensive list of potential factors that may contribute to this relationship (Bakhru, Buckanovich, & Griggs, 2011; Vigneri et al., 2009).

Recent studies have confirmed the association between DM and BC (Boyle et al., 2012; Cleveland et al., 2012; Giovannucci et al., 2010; Lam et al., 2011; Larsson et al., 2007; Liao et al., 2011; Redaniel et al., 2012; Shikata et al., 2013; Sun & Kashyap, 2011; Tudzarova & Osman, 2015; Vigneri et al., 2009). Others studies have demonstrated the impact of DM on lower rates of

screening mammography use (Beckman et al., 2001; Fleming et al., 2011; Lipscombe et al., 2005; McBean & Yu, 2007; Sanderson et al., 2014), later stage diagnosis of BC (Lipscombe et al., 2015), and BC mortality (Bao et al., 2015; Barone et al., 2008; Chen et al., 2012; Cleveland et al., 2012; Lipscombe et al., 2008; Luo et al., 2015; Luo et al., 2014; Peairs et al., 2011; Redaniel et al., 2012; Srokowski et al., 2009; van de Poll-Franse et al., 2007; Verlato et al., 2003). While most of the previously conducted studies have confirmed the significant influence of DM on BC spectrum of care (prevention, diagnosis, and prognosis), no study yet has examined the independent role of diabetes-related complications on this association, controlling for other possible factors (e.g. other comorbidities, diabetes medications, access to care...etc.) that could confound this association.

Therefore, considering the prevalence of pre-existing DM with incident BC and the high burden of diabetes-related complications among elderly women, it is prudent to consider how the severity of diabetes complications impacts the BC screening, diagnosis and prognosis using DCSI. Using the linked Surveillance, Epidemiology and End-Results (SEER)-Medicare data which represents a large population of elderly in the US, we will determine the independent impact of diabetes-related complication(s) on BC spectrum of care among elderly women with DM in US.

Bearing these thoughts in mind, this study has three specific aims:

**Aim 1:** To investigate the association between the severity of diabetes complications and persistence with BC screening (mammogram) among elderly women with pre-existing DM.

**Aim 2:** To determine the association of the severity of diabetes complications with stage of BC at diagnosis among elderly women with pre-existing DM.

**Aim 3:** To explore the impact of the severity of diabetes complications on all-cause mortality among elderly women with incident BC and pre-existing DM.



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Table 1				
The American Guidelines Recommendations for Breast Cancer Screening				
	Guideline	Age group	Frequency	References
Mammogram				
	ACS	45-54	Annual	(Oeffinger et al., 2015)
		55 and older	Biennial	(Oeffinger et al., 2015)
	USPSTF	50-74	Biennial	(Siu, 2016)
	ACOG	40 and older	Annual	(ACOG, 2016)

*GYNE: gynecological. ACS: American Cancer Society. USPSTF: US Preventive Services Task Force. ACOG: American College of Obstetricians and Gynecologist*



## **CHAPTER TWO**

### **The Association between the Severity of Diabetes Complications and Persistence with Screening Mammography among Elderly Women with Diabetes Mellitus**

## ABSTRACT

**Objective:** To determine whether there is an association between the severity of diabetes complications using the diabetes complications severity index (DCSI) and persistence with screening mammography among elderly women with diabetes Mellitus (DM) after controlling for all covariates that may mediate this association.

**Methods:** This was a retrospective study using a 5% random sample of linked Surveillance, Epidemiology, and End Results (SEER)-Medicare data of 16,526 elderly women with DM who were free of cancer during the years 2002 to 2008. Chi-square tests were used to test for significant differences in characteristics among the three groups based on persistence with screening mammography. The expanded Andersen behavioral model was used to guide the selection of variables that could influence persistence with screening mammography. Multinomial logistic regressions were used to examine unadjusted and adjusted association between the severity of diabetes complications and persistence with screening mammography controlling for predisposing factors (race and age), enabling factors (annual visits to primary care providers), need factors (comorbid conditions), and external environmental factors (regions and metropolitan status).

**Results:** Overall, presence and severity of diabetes complications was significantly associated with screening mammography use (either persistent or non-persistent use). Among elderly women with DM, those with DCSI=1, DCSI=2, DCSI=3, DCSI=4, and DCSI  $\geq 5$  were significantly 23% (odds ratio (OR) = 0.77; 95% confidence intervals (CI) = 0.68-0.89), 46% (OR = 0.54; 95% CI = 0.48-0.61), 66% (OR = 0.34; 95% CI = 0.29-0.41), 79% (OR = 0.21; 95% CI = 0.17-0.25), and 92% (OR = 0.08; 95% CI = 0.07- 0.10) less likely to be persistent with screening

mammography, respectively, as compared to those without diabetes complications after controlling for predisposing factors, enabling factors, need factors, health behaviors, and external environmental factors.

**Conclusions:** Using a nationally representative linked data of elderly women with DM, this study found that as the severity of diabetes complications increases, persistence with screening mammography decreases among elderly women with DM. Tailored diabetes education programs and new strategies that target primary care physicians, caregivers, and patients are essential to raise awareness about the importance of breast cancer screening for elderly women with diabetes complications who are high-risk patients in terms of poor persistence with BC screening.

**Keywords:** Diabetes complications; breast cancer screening; mammography; persistence; comorbidity

## INTRODUCTION

A growing body of literature suggests that diabetes mellitus (DM) is a well-established independent risk factor for breast cancer (BC) (Boyle et al., 2012; Cleveland et al., 2012; Giovannucci et al., 2010; Lam et al., 2011; Larsson, Mantzoros, & Wolk, 2007; Liao et al., 2011; Redaniel et al., 2012; Shikata, Ninomiya, & Kiyohara, 2013; Sun & Kashyap, 2011; Tabassum, Mahmood, & Faheem, 2016; Tudzarova & Osman, 2015; Vigneri et al., 2009). A meta-analysis by Boyle et al., showed that DM increased the risk of BC by 27% as compared to women without DM (Boyle et al., 2012). Another study by Cleveland et al., showed that women with DM are at a significantly higher risk (35%) of developing incident BC as compared to those without DM (Cleveland et al., 2012). A recent study conducted in Pakistan showed that women with diabetes had about five times more odds of developing BC than those without diabetes (Tabassum et al., 2016).

Since diabetes is strongly associated with the risk of developing BC among women, persistence with BC screenings for women with DM is essential. The American College of Obstetricians and Gynecologists (ACOG) guideline strongly recommends starting regular annual mammography screening for women at age 40 years (ACOG, 2016). The American Cancer Society (ACS) recommends starting annual mammogram screening at age 45 years, and women can switch to biennial mammogram screening at age 55 years (Oeffinger et al., 2015). Thus, elderly women who aged  $\geq 65$  years must have either annual or biennial mammogram screening to decrease the likelihood of having BC diagnosis and mortality from incident BC (McCarthy et al., 2000; Vyas, Madhavan, & Sambamoorthi, 2014). A recent cohort study by Vyas et al. showed that elderly women with persistent screening mammography were more likely to be diagnosed at earlier stages of BC as compared to non-persistent women (Vyas et al., 2014).

Although regular screening mammography is very important with many benefits, studies have shown a lower rates of screening mammography use among elderly women with DM as compared to those without DM (Beckman et al., 2001; Fleming, Love, & Bennett, 2011; Lipscombe et al., 2015; Lipscombe, Hux, & Booth, 2005; Luo et al., 2015; McBean & Yu, 2007). Studies found that women with DM were significantly less likely to have screening mammography than women without diabetes although women with diabetes had more frequent primary health care visits as compared to those without diabetes (Lipscombe et al., 2005; Lipscombe et al., 2015 ). One study in Kentucky found that women with diabetes had a significantly 50% lower odds of having regular mammography screening as compared to those without DM (Fleming et al., 2011).

These findings highlight the need for a better understanding of how diabetes reduces persistence with screening mammography among elderly women with diabetes who are at higher risk of developing BC. These studies have not adjusted for diabetes severity and diabetes-related complications as a confounder; however, this factor may have a significant influence on this association.

Few studies have examined the impact of diabetes-related complications on provision of preventive care (Conwell & Boulton, 2008; Timar et al., 2016), but the influence of diabetes-related complications on having preventive services is only partly understood and focusing only on specific type of complications (Conwell & Boulton, 2008;Timar et al., 2016).

To date, no study has addressed the impact of diabetes severity and diabetes-related complications on persistence with screening mammography among women with diabetes. Therefore, the primary aim of this study is to examine the association between the severity of

diabetes complications and persistence with screening mammography among elderly women with diabetes by comparing them to women who have diabetes with no complications.

## **Conceptual Framework**

The association between the severity of diabetes complications and persistence with screening mammography is the focus of this study. However, there are other covariates that could affect this association. Thus, we used the expanded Andersen behavioral model to guide the selection of other independent variables that may affect persistence with BC screening (Andersen, 1995). This model suggests that healthcare services utilization, such as breast cancer screening use depends upon predisposing factors, enabling factors, need factors, and the external environment factors (Figure 1). Predisposing factors include individual factors such as demographic characteristics (e.g. age) biological imperative (e.g. sex), or social factors (ethnicity) (Andersen, 2001). Enabling factors are conditions enabling services utilization or factors entail whether an individual has a regular source of care. Need factors include assessment and measurement of patients' health status and need for medical care (e.g. comorbid conditions). External environmental factors include metropolitan status and regions.

## **RESEARCH DESIGN AND METHODS**

### **Study Design**

This study was a retrospective cohort study among elderly women with DM. The baseline years in which the individuals were first identified with DM were 2002-2008. In each year, there was 12-months enrollment period, and then each case was followed up to 60 months to assess the impact of diabetes complications severity on persistence with screening mammography (Figure 2).

## **Data Source**

We used a 5% random sample data of Surveillance Epidemiology and End Results (SEER)-non-cancer linked with Medicare files and generated in a file called the Summarized Denominator (SUMDENOM) file (SEER-Medicare, 2016). This database consists of Medicare beneficiaries who are free of cancer living in SEER areas (Atlanta, Connecticut, Detroit, Hawaii, Iowa, New Mexico, San Francisco-Oakland, Seattle-Puget Sound, Utah, Los Angeles and San Jose-Monterey, Rural Georgia, Alaska Native, Greater California, Kentucky, Louisiana, New Jersey, and Greater Georgia)( SEER, 2016; Hellman, 1997). The linked Medicare file provides claims of hospitalizations recorded for part A enrollees in Medicare Provider Analysis and Review (MEDPAR) file, and claims of care delivered in hospital outpatient departments and physician's offices for part B enrollees in an outpatient (OUTPT) file and National Claims History (NCH) file. Also, Medicare files contain the Home Health Agency (HHA) file of all claims for home health services (SEER-Medicare, 2016).

This database has been used to study factors and behaviors related to multiple types of cancer screening among Medicare population who are free of cancer (Kagay, Quale, & Smith-Bindman, 2006; McBean & Yu, 2007; White, Vernon et al., 2011). Variables are created based on enrollment records in SUMDENOM file and medical claims using International Classification of Diseases, Ninth Edition, Clinical Modification (ICD-9-CM) diagnosis and procedure codes, Current Procedural Terminology (CPT) codes, and Healthcare Common Procedure Coding System (HCPCS) codes.

## **Study Cohorts**

Our cohort consisted of elderly women aged 65 years or older with DM in the 5% random sample of non-cancer cases in the SEER-Medicare during the years 2002 to 2008. Other inclusion criteria were at least 6-years continuous enrollment in Medicare part A and B, no enrollment in a health maintenance organization (HMO) at any time during the study period, without any type of cancer, without end stage renal disease (ESRD), and alive during the study period. Diabetes was determined on the basis of either a single inpatient claim or at least two outpatient claim diagnoses with ICD-9-CM diagnosis code of 250.xx (Luo et al., 2014) during the 12-month period of continuous enrollment of the baseline period.

## **Measures**

### *Outcome variable*

The key outcome variable was persistence with screening mammography during the follow-up five years. We identified the screening mammography using HCPCS codes (76085, 76092, 77052, 77057, 77063, G0202, and G0203), and ICD-9-CM diagnosis code V7612 which are assigned for only screening mammography (McBean & Yu, 2007). For each year during the follow-up period, each woman who had  $\geq 1$  mammogram screening were considered to have a one screening mammogram during that year. Thereafter, the total number of annual screening mammograms was calculated for each case during the follow-up period (5 years) with a maximum number equals to 5 and minimum number equals to 0. Based on the number of annual screening mammograms a woman had during the follow-up five years, the study cohort was categorized into non-users (no screening mammograms), non-persistent users (with 1–2 screening mammograms), and persistent users (with three or more screening mammograms) (Vyas et al., 2014).

### *Key independent variable*



The main independent variable was diabetes complications severity that was identified before the first observed mammogram screening. For those who do not have any mammogram screening during the 60-months follow-up period, we picked a random year to capture the diabetes severity. Diabetes complications severity was measured by end-organ damage and diabetes-related complications using the diabetic comorbidity severity index (DCSI). The DCSI was first developed by Young and colleagues to include 7 categories of diabetes complications: cardiovascular disease, nephropathy, retinopathy, peripheral vascular disease, cerebrovascular, neuropathy, and metabolic complications (Young et al., 2008). These complications were identified using International Classification of Diseases, Ninth Edition, Clinical Modification (ICD-9-CM) diagnosis code to represent gradations of the diabetes complications severity (Young et al., 2008). The index for each complication was categorized into 2 or 3 levels (no abnormality = 0, some abnormality = 1, and severe abnormality = 2), based on the presence and severity of the complication, and then the indices of all complications were added together to get the DCSI which is a 13-point scale with a range of 0-13 (Chang et al., 2012a; Young et al., 2008). The study cohort was divided into 6 subgroups consisting of DCSI=0 (no complications), DCSI=1, DCSI=2, DCSI=3, DCSI=4, and DCSI  $\geq 5$  indication increasing number of diabetes complications and/or severity.

### *Covariates*

The covariates were selected based on the expanded Andersen behavioral model (Andersen, 1995). Predisposing factors were identified in the baseline year, and these factors include race and age. Race was categorized into white, African-American, and others. Age was categorized into 65–70, 71–74, 75–79, and 80 years or older. Number of annual visits to primary care providers (PCPs) during the study period (6years) including the baseline year were classified

as an enabling factor. These visits were identified from Medicare claims using physician claims in NCH files for the services representing routine office visits. Like previous research (Baldwin et al., 2002; Fisher et al., 2013; Yu, McBean, & Virnig, 2007), we defined PCPs as providers who had the following specialties: general practice, family medicine, primary care internal medicine, geriatric medicine, and obstetrics and gynecology. For each case, there must be at least one visit to PCPs during a year to be counted as one annual visit toward the whole number of annual visits to PCPs during the study period. Based on the above definition, the variable was categorized into 3 groups: 0 to 3 visits, 4 to 5 visits, and 6 visits. Need factor was defined as the presence or absence of the following chronic conditions: arthritis, asthma, chronic obstructive pulmonary disease (COPD), dementia, hyperlipidemia, hypertension, thyroid syndrome, osteoporosis, anxiety, and depression. All the chronic conditions were identified in the year that preceded the first observed mammogram screening. For those who did not have any mammogram screening during the 60-months follow-up period, we picked a random year to capture the chronic conditions. External environment factors consisted of SEER regions (Northeast, South, North Central, and West), and metropolitan status (metro, urban, and rural) of the individuals.

## **Statistical Analyses**

Descriptive statistics were reported using frequencies and percentages since all the variables were categorical. Chi-square tests were used to test for significant differences in characteristics among the three groups based on use of screening mammography: non-users, non-persistent, and the persistent. Statistical significance was defined as a p-value  $\leq 0.05$ . The adjusted associations between persistence with screening mammogram and diabetes complications severity were examined in a series of three multinomial logistic regressions models.

Model 1 included only the severity of diabetes complications measured by DCSI; model 2 additionally included enabling factor (the number of annual visits to PCPs); and model 3 included predisposing factors (race and age), enabling factor (the number of annual visits to PCPs), need factors (comorbid conditions), and the external environment characteristics (SEER regions and metropolitan status). In all models, “non-users”, who had no screening mammography during the follow-up period, were used as the reference group for the outcome. The parameter estimates were transformed to odds ratios and their corresponding 95 % confidence intervals (CI). All analyses were conducted using statistical analysis systems software SAS 9.4 (SAS® version 9.4, SAS Institute Inc., Cary, NC, USA).

## **RESULTS**

### **Cohort Characteristics**

Of 60,756 women who were identified with DM in our 5% non-cancer random sample of Medicare data, 16,526 were eligible for our study based on the study inclusion and exclusion criteria (Figure 3). Table 1 presents the characteristics of the study cohort. The majority of women were white (73.5%), living in metro areas (79.7%), and had at least one PCP visit per year during the study period (6 years). The most common comorbid conditions among women with DM in our study cohort were hypertension (84.6%), hyperlipidemia (65.8%), arthritis (36.6%), thyroid syndrome (29.9%), osteoporosis (17.1%), COPD (14.4%), dementia (11.6%), and asthma (11.5%). About 42.5% of the women with DM did not receive any mammography screening during the follow-up five years, 28.1% were non-persistent and only had one or two mammography screenings, and 29.5 % were persistent with 3 or more mammography screening during the follow-up period. About 42.5% of the women in our study had no diabetes complications and 12.7% had  $DCSI \geq 5$ . The minimum DCSI was 0 while the maximum DCSI

was 11. The most frequent diabetes-related complications were cardiovascular complications (48.9%), cerebrovascular complications (18.5%), neuropathy (17.6%), nephropathy (17.5%), peripheral vascular disease (12.6%), while metabolic complications (0.6%) and retinopathy (7.4%) were less frequent among elderly women with DM (non-tabulated).

### **Group Differences by Persistence with BC Screening**

Table 2 shows the bivariate associations between persistence with screening mammogram and other characteristics of the study cohort. The variables that were significantly associated with persistence with screening mammogram include DCSI, age groups, race, number of annual visits to PCPs, SEER regions, and metropolitan status. The comorbid conditions that were significantly associated with persistence with BC were arthritis, asthma, COPD, dementia, hyperlipidemia, hypertension, thyroid syndrome, osteoporosis, and depression.

Among those who did not have any BC screening during the 60 months, the percentage of women with a high severity of diabetes complications ( $DCSI \geq 5$ ) was higher (21.8%) as compared to non-persistent (9.5%) and persistent (2.8%) groups. Also, those who were non-users of BC screening were more likely to have older ages as compared to non-persistent and persistent groups. Women who did not have any screening mammogram during the follow-up 60 months were less likely to have annual PCP visits during the study period (75.7%) as compared to persistent group (85.5%). Also, they were more likely to have arthritis, asthma, dementia, and COPD, and they were less likely to have hyperlipidemia and hypertension.

### **Associations with Persistence with BC Screening**

Table 3 depicts the unadjusted and adjusted associations between persistence with screening mammography and the severity of diabetes complications. Generally, the severity of

diabetes complications was significantly associated with less likelihood of BC screening use (either persistent or non-persistent use). In the unadjusted association, women with DCSI =1, DCSI =2, DCSI =3, DCSI =4, and DCSI  $\geq$ 5 were 14% (OR = 0.86; 95% CI = 0.76-0.97), 45% (OR = 0.55; 95% CI = 0.50-0.62), 66% (OR = 0.34; 95% CI = 0.29-0.40), 81% (OR = 0.19; 95% CI = 0.16-0.22), and 93% (OR = 0.07; 95% CI = 0.06-0.09) less likely to be persistent with screening mammogram as compared to those without diabetes complications.

This strong association remained significant in model 2 even after controlling for annual visits to PCPs during the 60 months. In model 3, after controlling for predisposing factors, enabling factors, need factors, health behaviors, and external environmental factors, women with DCSI =1, DCSI =2, DCSI =3, DCSI =4, and DCSI  $\geq$ 5 were 23% (OR = 0.77; 95% CI = 0.68-0.89), 46% (OR = 0.54; 95% CI = 0.48-0.61), 66% (OR = 0.34; 95% CI = 0.29-0.41), 79% (OR = 0.21; 95% CI = 0.17-0.25), and 92% (OR = 0.08; 95% CI = 0.07-0.10) less likely to be persistence with screening mammography, as compared to those without diabetes complications.

## **DISCUSSION**

This cohort study is the first to examine the association between the severity of diabetes complications and persistence with BC screening in a large nationally representative sample of elderly women who are Medicare beneficiaries, living in SEER areas and were free of cancer. It makes an important contribution to the current literature, as it moves beyond identifying the general association between diabetes and lower BC screening towards exploring the impact of severity of diabetes complications and other covariates on having BC screening.

Our study showed that 42.5% of elderly women with DM had no screening mammography during 60 months of follow-up period. This is higher than what was found in the

general population of elderly women since a previous study reported that 21% of elderly women are non-users with no screening mammography during a 24-month follow-up period (McCarthy et al., 2000). Another study by Vyas et al, reported that 27.9% of elderly women who are Medicare beneficiaries in SEER areas were non users with no screening mammography over the 60-months follow-up period (Vyas et al., 2014). Overall, this is consistent with previous literature regarding the underuse of BC screening among elderly women with DM as compared to those without diabetes (Beckman et al., 2001; Chan et al., 2014; Fleming et al., 2011; Lipscombe et al., 2005; Martinez-Huedo et al., 2012; McBean & Yu, 2007). The findings highlight the need for better understanding of the role diabetes in lower rates of BC screening.

Our findings revealed that the severity of diabetes complications is significantly associated with lower persistence with screening mammography after controlling for predisposing factors, enabling factors, need factors, health behaviors, and external environment factors. As the DCSI increases, the likelihood of being persistent with screening mammography decreases. Women with DCSI =1, DCSI =2, DCSI =3, DCSI =4, and DCSI  $\geq$ 5 were 26%, 48%, 67%, 80%, and 92% less likely to be persistence with screening mammogram, respectively, as compared to those without diabetes complications. This association of presence and severity of diabetes complications with low persistence with screening mammogram was confirmed even in the presence of more frequent PCP visits as compared to those without complications. This draws attention to the role of primary care providers to increase the awareness of elderly women and their caregivers about the importance of BC screening, especially since these women are at a high risk of developing breast cancer. Theories was proposed to explain why some patients, who have frequent visits to primary care physicians, do not get preventive services. Time constraints could be a factor that may explain why the primary care providers do not give preventive care a

priority during the visits, especially among elderly women with diabetes complications. A previous study by Yarnall et al, showed the ability of physicians to comply with preventive services recommendations are limited with time (Yarnall et al., 2003). In our study cohort, the presence and severity of diabetes complications may take precedence over BC screening during annual visits to PCPs. Another reason could be related to a shorter life expectancy of women with more severe diabetes complications. Guidelines including ACS, American College of Radiology (ACR) guidelines, and American Geriatrics Society (AGS) recommend that decisions about BC screening in elderly women should be considered based on the woman's current health conditions and predicted life expectancy. Young et al found that as the DCSI increased, the mortality risk increased (Young et al., 2008). However, still having BC screening decreases the burden of comorbidity between the diabetes complications and cancer among elderly women with diabetes. Since elderly women with diabetes are at high risk of breast cancer diagnosis, new approaches and guidelines of preventive care are required to address the complexity and heterogeneity of diabetes, and to detect BC early, and thereby this could improve the chances that BC can be treated successfully (Braithwaite, Demb, & Henderson, 2016).

The results of this study should be interpreted in the light of potential limitations of the methodology. Although the non-cancer SEER-Medicare sample of elderly women is a large linked data, it lacks the information related to mammogram screening covered by Medicare but not billed to Medicare. Second, since we used claims database instead of medical records to measure DSCI, the index was measured without laboratory results. However, a study by Chang et al. tested the validity of DCSI without laboratory results and they found that the DCSI without laboratory results and the DCSI with laboratory information perform similarly (Chang et al., 2012b). Finally, the US Preventive Services Task Force (USPSTF) concluded that there is no

sufficient evidence to assess the importance of screening mammography in women aged 75 years or older because none of the randomized controlled trials evaluating screening mammography included women aged  $\geq 74$  years (Walter et al, 2014). However, we included elderly women aged  $\geq 74$  years in our cohort for many reasons. First, all other guidelines (ACS, ACOG, ACR, and AGS) do not recommend against having screening mammograms for women older than 74 years. In addition, a study by Galit et al. revealed that regular mammography screening for women aged older than 74 years may be associated with lower risk of late stage diagnosis and lower mortality (Galit et al., 2007).

Despite the potential limitations, this is the first cohort study, to investigate the contribution of the severity of diabetes complications using DCSI to low rates of screening mammograms among elderly women. In addition to use of DCSI as measure of diabetes severity, a study by Young et al. found that this index may be considered as a proxy measure for diabetes duration (Young et al., 2008). Young et al found that severity index of diabetes complications was highly correlated with diabetes duration, and it attenuated the significant impact of diabetes duration on mortality after it was added to the analysis model (Young et al., 2008). Because diabetes may remained undiagnosed for years, using DCSI as a severity measure of long- term complications probably demonstrate the consequences of biologic markers of diabetes duration (Harris & Eastman, 2000). Moreover, this is the only study that accounts for differences in comorbidities, access to health care, and other factors between non-users, non-persistent, and persistent mammography uses among elderly women with DM. Another major strength of this study is the large size of the studied cohort. Moreover, using a 5% random sample of Medicare beneficiaries who lived in SEER areas enable us to exclude women with histories of any cancer from the study. Also, women who had any diagnostic code of any type of cancer were excluded from our



cohort to increase the probability that the identified screening mammograms were indeed for screening.

Overall, the DCSI may be best used for women with diabetes who are at high risk of poor BC preventive care by diabetes management programs, such as Medicare chronic care management (CCM) services that include interventions, monitoring and education. Also, these programs should be directed to primary health care physicians who could effectively promote to BC preventive care since the association between annual visits to PCPs and persistence with screening mammography was very strong.

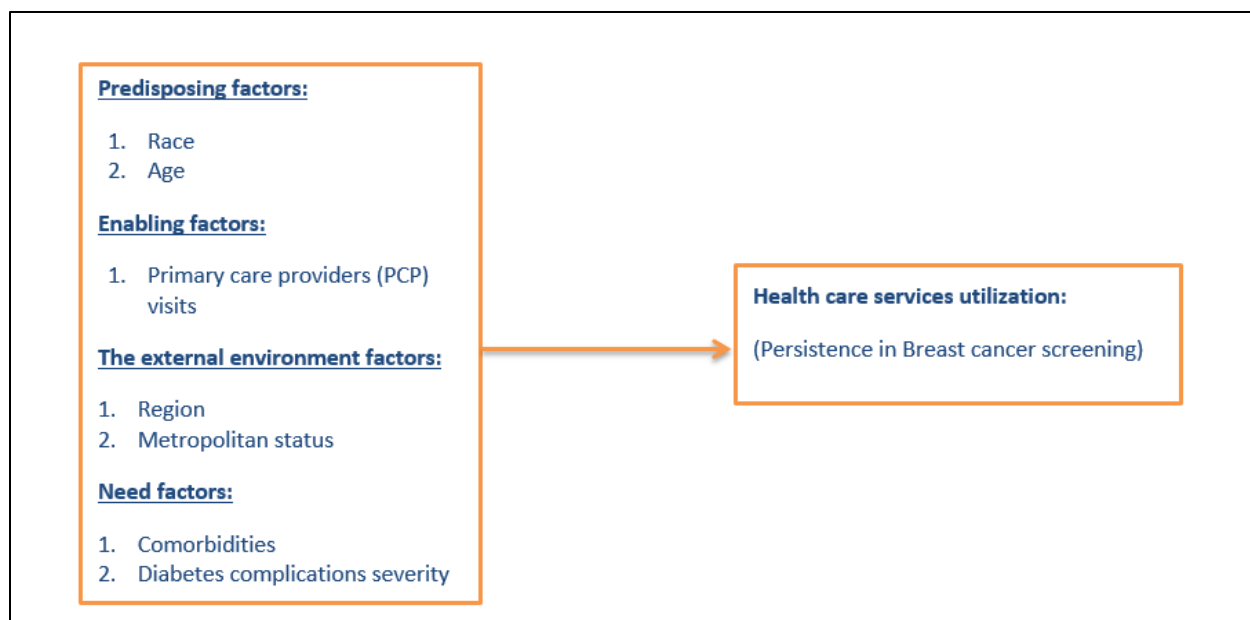
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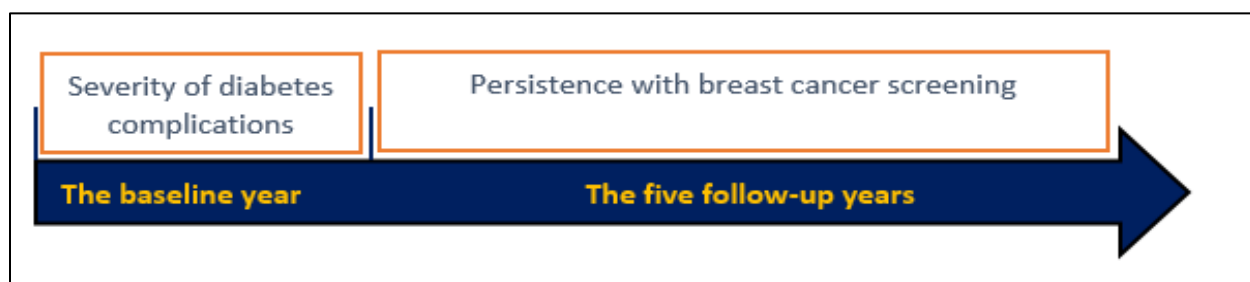
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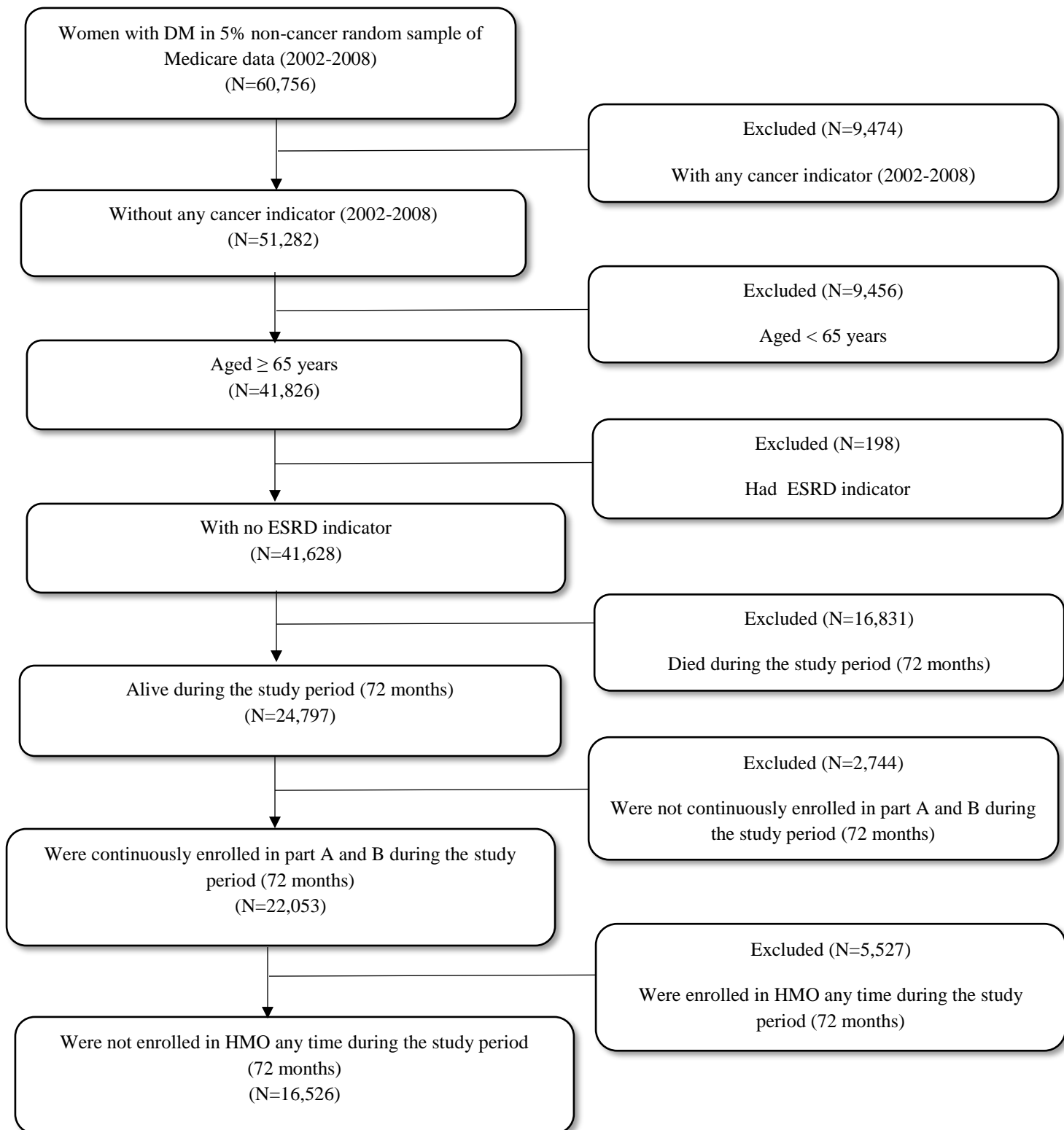


**Figure 1: conceptual framework (Anderson's behavioral model)**



**Figure 2: Study design (retrospective cohort study)**

**Figure 3: Study Sample Selection Flowchart**



**Table 1: The Baseline Characteristics of the Study Cohort\* by Diabetes Complications Severity Index (DCSI)**

Characteristics	All (N)	%	DCSI=0 (N)	%	DCSI=1 (N)	%	DCSI=2 (N)	%	DCSI=3 (N)	%	DCSI=4 (N)	%	DCSI= $\geq$ 5 (N)	%
<b>TOTAL</b>	<b>16,526</b>	<b>100</b>	<b>6933</b>		<b>1882</b>		<b>2900</b>		<b>1277</b>		<b>1427</b>		<b>2107</b>	
<b>Age groups</b>														
65-70	5,896	35.7	2854	41.2	743	39.5	963	33.2	399	31.2	356	24.9	581	27.6
71-74	3,494	21.1	1562	22.5	407	21.6	599	20.7	252	19.7	274	19.2	400	19.0
75-79	3,684	22.3	1399	20.2	406	21.6	666	23.0	306	24.0	367	25.7	540	25.6
$\geq$ 80	3,452	20.9	1118	16.1	326	17.3	672	23.2	320	25.1	430	30.1	586	27.8
<b>Race</b>														
White	12,147	73.5	5064	73.0	1395	74.1	2174	75.0	956	74.9	1056	74.0	1502	71.3
African America	2,355	14.3	955	13.8	237	12.6	392	13.5	180	14.1	203	14.2	388	18.4
Others	2,024	12.2	914	13.2	250	13.3	334	11.5	141	11.0	168	11.8	217	10.3
<b>Annual PCP visits</b>														
0-3	1,261	7.6	608	8.8	166	8.8	199	6.9	75	5.9	77	5.4	136	6.5
4-5	2,078	12.6	872	12.6	222	11.8	335	11.6	166	13.0	190	13.3	293	13.9
6	13,187	79.8	5453	78.7	1494	79.4	2366	81.6	1036	81.1	1160	81.3	1678	79.6
<b>Arthritis</b>														
Yes	6,056	36.6	1605	23.2	739	39.3	1140	39.3	643	50.4	684	47.9	1245	59.1
No	10,470	63.4	5328	76.8	1143	60.7	1760	60.7	634	49.6	743	52.1	862	40.9
<b>Asthma</b>														
Yes	1,896	11.5	442	6.4	204	10.8	393	13.6	197	15.4	223	15.6	437	20.7
No	14,630	88.5	6491	93.6	1678	89.2	2507	86.4	1080	84.6	1204	84.4	1670	79.3
<b>COPD</b>														
Yes	3,283	19.9	606	8.7	288	15.3	668	23.0	346	27.1	441	30.9	934	44.3
No	13,243	80.1	6327	91.3	1594	84.7	2232	77.0	931	72.9	986	69.1	1173	55.7
<b>Dementia</b>														
Yes	1,909	11.6	243	3.5	129	6.9	320	11.0	204	16.0	331	23.2	682	32.4
No	14,617	88.4	6690	96.5	1753	93.1	2580	89.0	1073	84.0	1096	76.8	1425	67.6
<b>Hyperlipidemia</b>														
Yes	10,869	65.8	3840	55.4	1311	69.7	2017	69.6	968	75.8	1055	73.9	1678	79.6
No	5,657	34.2	3093	44.6	571	30.3	883	30.4	309	24.2	372	26.1	429	20.4
<b>Hypertension</b>														
Yes	13,983	84.6	4974	71.7	1694	90.0	2655	91.6	1216	95.2	1370	96.0	2074	98.4
No	2,543	15.4	1959	28.3	188	10.0	245	8.4	61	4.8	57	4.0	33	1.6
<b>Thyroid syndrome</b>														
Yes	4,947	29.9	1546	22.3	551	29.3	953	32.9	478	37.4	517	36.2	902	42.8
No	11,579	70.1	5387	77.7	1331	70.7	1947	67.1	799	62.6	910	63.8	1205	57.2
<b>Osteoporosis</b>														
Yes	2,834	17.1	729	10.5	332	17.6	528	18.2	293	22.9	354	24.8	598	28.4
No	13,692	82.9	6204	89.5	1550	82.4	2372	81.8	984	77.1	1073	75.2	1509	71.6
<i>...Continued</i>														



**Table 1: The Baseline Characteristics of the Study Cohort\* by Diabetes Complications Severity Index (DCSI)**

Characteristics	All (N)	%	DCSI=0 (N)	%	DCSI=1 (N)	%	DCSI=2 (N)	%	DCSI=3 (N)	%	DCSI=4 (N)	%	DCSI= $\geq$ 5 (N)	%
<b>Anxiety</b>														
Yes	633	3.8	167	2.4	77	4.1	118	4.1	60	4.7	77	5.4	134	6.4
No	15,893	96.2	6766	97.6	1805	95.9	2782	95.9	1217	95.3	1350	94.6	1973	93.6
<b>Depression</b>														
Yes	1,133	6.9	321	4.6	120	6.4	180	6.2	108	8.5	133	9.3	271	12.9
No	15,393	93.1	6612	95.4	1762	93.6	2720	93.8	1169	91.5	1294	90.7	1836	87.1
<b>SEER regions</b>														
Northeast	2,944	17.8	1197	17.3	332	17.6	559	19.3	237	18.6	272	19.1	347	16.5
South	4,205	25.4	1741	25.1	466	24.8	726	25.0	314	24.6	360	25.2	598	28.4
North-central	2,071	12.5	839	12.1	239	12.7	374	12.9	171	13.4	178	12.5	270	12.8
West	5,988	36.2	2663	38.4	707	37.6	997	34.4	449	35.2	494	34.6	678	32.2
Missing	1,318	8.0	493	7.1	138	7.3	244	8.4	106	8.3	123	8.6	214	10.2
<b>Metropolitan status</b>														
Metro	13,157	79.7	5530	79.8	1506	80.1	2331	80.5	1015	79.6	1165	81.8	1610	76.5
Urban	2,942	17.8	1222	17.6	335	17.8	500	17.3	231	18.1	224	15.7	430	20.4
Rural	409	2.5	175	2.5	38	2.0	66	2.3	29	2.3	36	2.5	65	3.1

\*A cohort of 16,526 elderly women with DM and free of cancer using SEER-Medicare dataset.

DCSI = Diabetes complications severity index; PCP = Primary care providers;

COPD = Chronic Obstructive Pulmonary Disorder; SEER = Surveillance, Epidemiology, and End Results; BC = Breast cancer.

**Table 2: Characteristics of the Study Cohort\* by Persistence with BC screening**

Characteristics	Non-user		Non-persistent		Persistent		sig
	N	%	N	%	N	%	
<b>Total</b>	<b>7021</b>		<b>4637</b>		<b>4868</b>		
<b>DCSI</b>							***
DCSI = 0	2275	32.4	1831	39.5	2827	58.1	
DCSI = 1	601	8.6	639	13.8	642	13.2	
DCSI = 2	1195	17.0	883	19.0	822	16.9	
DCSI = 3	598	8.5	427	9.2	252	5.2	
DCSI = 4	822	11.7	415	8.9	190	3.9	
DCSI >=5	1530	21.8	442	9.5	135	2.8	
<b>Age groups</b>							***
65-70	1806	25.7	1824	39.3	2266	46.5	
71-74	1276	18.2	1030	22.2	1188	24.4	
75-79	1679	23.9	1049	22.6	956	19.6	
>=80	2260	32.2	734	15.8	458	9.4	
<b>Race</b>							***
White	5049	71.9	3348	72.2	3750	77.0	
African America	984	14.0	702	15.1	669	13.7	
others	988	14.1	587	12.7	449	9.2	
<b>Number of annual PCP visits</b>							***
PCP=0-3	686	9.8	332	7.2	243	5.0	
PCP=4-5	1017	14.5	599	12.9	462	9.5	
PCP=6	5318	75.7	3706	79.9	4163	85.5	
<b>Arthritis</b>							***
Yes	2922	41.6	1722	37.1	1412	29.0	
No	4099	58.4	2915	62.9	3456	71.0	
<b>Asthma</b>							***
Yes	860	12.2	592	12.8	444	9.1	
No	6161	87.8	4045	87.2	4424	90.9	
<b>COPD</b>							***
Yes	1774	25.3	945	20.4	564	11.6	
No	5247	74.7	3692	79.6	4304	88.4	
<b>Dementia</b>							***
Yes	1539	21.9	291	6.3	79	1.6	
No	5482	78.1	4346	93.7	4789	98.4	
<b>Hyperlipidemia</b>							***
Yes	4229	60.2	3262	70.3	3378	69.4	
No	2792	39.8	1375	29.7	1490	30.6	
<b>Hypertension</b>							***
Yes	5801	82.6	4131	89.1	4051	83.2	
No	1220	17.4	506	10.9	817	16.8	
<i>...Continued</i>							

**Table 2: Characteristics of the Study Cohort\* by Persistence with BC screening**

Characteristics	Non-user		Non-persistent		Persistent		sig
	N	%	N	%	N	%	
<b>Thyroid syndrome</b>							*
Yes	2134	30.4	1422	30.7	1391	28.6	
No	4887	69.6	3215	69.3	3477	71.4	
<b>Osteoporosis</b>							***
Yes	1369	19.5	762	16.4	703	14.4	
No	5652	80.5	3875	83.6	4165	85.6	
<b>Anxiety</b>							
Yes	279	4.0	184	4.0	170	3.5	
No	6742	96.0	4453	96.0	4698	96.5	
<b>Depression</b>							***
Yes	534	7.6	338	7.3	261	5.4	
No	6487	92.4	4299	92.7	4607	94.6	
<b>Anxiety</b>							
Yes	279	4.0	185	4.0	170	3.5	
No	6742	96.0	4452	96.0	4698	96.5	
<b>SEER regions</b>							***
Northeast	1355	19.3	780	16.8	809	16.6	
South	1716	24.4	1179	25.4	1310	26.9	
North-central	822	11.7	569	12.3	680	14.0	
West	2507	35.7	1725	37.2	1756	36.1	
Missing	621	8.8	384	8.3	313	6.4	
<b>Metropolitan status</b>							**
Metro	5586	79.7	3627	78.3	3944	81.0	
Urban	1227	17.5	890	19.2	825	17.0	
Rural	193	2.8	118	2.5	98	2.0	

\*A cohort of 16,526 elderly women with DM and free of cancer using SEER-Medicare dataset.

DCSI = Diabetes complications severity index; PCP = Primary care providers; COPD = Chronic Obstructive Pulmonary Disorder; SEER = Surveillance, Epidemiology, and End Results; BC = Breast cancer; Asterisks represent statistically significant group differences based on  $\chi^2$  tests by persistence with mammography screening: \*\*\*  $p < 0.001$ ; \*\*  $0.001 < p < 0.01$ ; \*  $0.01 < p < 0.05$

**Table 3: Association of Diabetes Complication Severity Index with Persistence with Breast Cancer Screening among Elderly women with Diabetes Mellitus**

Variables	Non-persistent			Persistent		
	OR	95% CI	sig	OR	95% CI	sig
<b>Model 1</b>						
<b>DCSI categories</b>						
DCSI = 0	Ref			Ref		
DCSI =1	1.32	[ 1.16, 1.50]	***	0.86	[ 0.76, 0.97]	*
DCSI =2	0.92	[ 0.83, 1.02]		0.55	[ 0.50, 0.62]	***
DCSI =3	0.89	[ 0.77, 1.02]		0.34	[ 0.29, 0.40]	***
DCSI =4	0.63	[ 0.55, 0.71]	***	0.19	[ 0.16, 0.22]	***
DCSI ≥ 5	0.36	[ 0.32, 0.41]	***	0.07	[ 0.06, 0.09]	***
<b>Model 2</b>						
<b>DCSI categories</b>						
DCSI = 0	Ref			Ref		
DCSI = 1	1.32	[ 1.16, 1.50]	***	0.85	[ 0.75, 0.97]	*
DCSI = 2	0.90	[ 0.81, 1.01]		0.54	[ 0.48, 0.60]	***
DCSI = 3	0.87	[ 0.76, 1.00]		0.33	[ 0.28, 0.38]	***
DCSI = 4	0.61	[ 0.53, 0.70]	***	0.18	[ 0.15, 0.21]	***
DCSI ≥ 5	0.35	[ 0.31, 0.40]	***	0.07	[ 0.06, 0.08]	***
<b>Model 3</b>						
<b>DCSI categories</b>						
DCSI = 0	Ref			Ref		
DCSI =1	1.13	[ 0.99, 1.30]		0.77	[ 0.68, 0.89]	***
DCSI =2	0.82	[ 0.73, 0.92]	***	0.54	[ 0.48, 0.61]	***
DCSI =3	0.78	[ 0.67, 0.91]	**	0.34	[ 0.29, 0.41]	***
DCSI =4	0.59	[ 0.51, 0.69]	***	0.21	[ 0.17, 0.25]	***
DCSI >=5	0.32	[ 0.28, 0.37]	***	0.08	[ 0.07, 0.10]	***

DCSI = Diabetes complications severity index; BC = Breast cancer; OR = Odds ratio; CI = Confidence intervals. Odds ratios and 95% CI from the multinomial regression models.

Model 1 included only DCSI; Model 2 adjusted for enabling factor; and Model 3 adjusted for predisposing factors, enabling factor, need factors and external environmental factors.

Asterisks represent statistically significant group differences compared with the reference group:

\*\*\* p<0.001; \*\* 0.001 < p <0.01; \* 0.01 < p<0.05

## **CHAPTER THREE**

### **“Association between the Severity of Diabetes-related Complications and Stage of Breast Cancer at diagnosis among Elderly women with Pre-existing Diabetes Mellitus”**

## ABSTRACT

**Objective:** To assess the association between the severity of diabetes complications and stage of breast cancer (BC) at diagnosis among elderly women with pre-existing diabetes mellitus.

**Methods:** Using Surveillance, Epidemiology and End Results and Medicare linked data (2004–2011), we identified women with incident BC and pre-existing diabetes (N = 7,729). Chi-square tests were used to test for group differences in stage of BC at diagnosis by the cohort characteristics that included mammography screening, biological factors (severity of diabetes complications using diabetes complications severity index (DCSI), age, race, hormone receptors (HR) status, and comorbid conditions), and non-biological factors such as access to health care and other community related factors. Multinomial logistic regression was used to examine the unadjusted and adjusted associations between the severity of diabetes complications and stage of BC at diagnosis.

**Results:** Of women with incident BC and pre-existing diabetes in our study, 45.2% had cardiovascular complications, 19.5% had nephropathy, and 13.6% had neuropathy. Fifteen percent of the BC incident cases were diagnosed at stage 0, 38.4% at stage I, 29.1% at stage II, and 17.5% at advanced stages (III/IV). In univariate analyses, stage of BC at diagnosis was associated with severity of diabetes complications, mammography screening use, age, race, progesterone hormone receptor status, estrogen hormone receptor status, other comorbid conditions, having a visit to a primary care physician during the year before BC diagnosis, having a visit to an endocrinologist during the year before BC diagnosis, availability of BC screening centers, census tract education, and census tract household income. In partial adjusted association, severity of diabetes complications (DCSI=2 and DCSI  $\geq$ 3) was associated with

higher likelihood of being diagnosed at advanced stages of BC after controlling for biological and non-biological factors. Women with DCSI  $\geq 3$  were 27% (odds ratio (OR) = 1.27; 95% confidence interval (CI) = 1.05-1.54), 47% (OR = 1.47; 95 % CI = 1.20–1.80), and 62% (OR = 1.62; 95 % CI = 1.30–2.01) more likely to be diagnosed at stage I, stage II, and advanced stages (III/IV), respectively, as compared to those with no diabetes complications. In full adjusted association, the severity of diabetes complications was no longer an independent predictor of BC stage II or advanced stage (III/IV) at diagnosis after controlling for biological factors, non-biological factors, and BC screening. However, women with DCSI = 2 had significantly 1.26 times more likely to be diagnosed at stage I (versus stage 0) of BC, compared to those without diabetes complications (OR = 1.26; 95% CI = 1.03-1.53). Women who had at least one screening mammogram during the last 24 months before BC diagnosis were 44%, 81%, and 91% less likely to be diagnosed at stage I, stage II, and advanced stages (III/IV), respectively, as compared to women who did not received screening.

**Conclusion:** The increased likelihood of having advanced-stage BC at diagnosis associated with severity of diabetes-related complications appears to be mediated by lower rates of breast cancer screening among women with pre-existing diabetes. Therefore, reducing disparity in receiving breast cancer screening among women with diabetes may reduce the risk of advanced stage breast cancer diagnosis.

**Keywords:** Diabetes complications; breast cancer stage; mammography

## INTRODUCTION

Several previous studies have found that women with diabetes were more likely to be diagnosed in advanced stages of breast cancer (BC) as compared to those without diabetes, and this may contribute to their higher mortality after cancer diagnosis ( Lipscombe et al., 2015; Luo et al., 2015; van de Poll-Franse et al., 2007). A study conducted among Canadian women with incident BC showed that diabetes was associated with 21% increased risk of Stage III diagnosis and 16% increased risk of Stage IV diagnosis of BC as compared to women without diabetes (Lipscombe et al., 2015; Luo et al., 2015).

Many reasons have been put forward to possibly account for later stage diagnosis of BC among women with diabetes. Studies have showed that women with diabetes are more likely to be diagnosed with metastatic BC and more likely to have larger tumors as compared to those without diabetes (Giovannucci et al., 2010). Lower rates of screening mammography among women with diabetes could also account for later stage diagnosis of BC. Despite the fact that women with diabetes have more frequent primary health care visits than women without diabetes, women with diabetes are less likely to have mammogram screening than women without diabetes (Lipscombe, Hux, & Booth, 2005). This lower rates of BC screening could play a role in the association between diabetes and risk of advanced stages of BC at diagnosis.

Age and age-related changes also play a crucial role in the association between diabetes and advanced stage diagnosis of BC. About 40% of the newly diagnosed BC occur in elderly women (age  $\geq 65$  years) with a 3- to 4-fold higher mortality rate after BC diagnosis in comparison to their counterpart (Cappellani et al., 2013; Tesarova, 2013; Wildiers et al., 2007). Moreover, elderly women are more likely to be diagnosed at advanced stages of breast cancer



than younger women (Freyer et al., 2006; Khan, Stewart, & Morrow, 2002). Furthermore, one third of the elderly women population have pre-existing diabetes (Corriere, Rooparinesingh, & Kalyani, 2013). Elderly women with diabetes have more frequent microvascular and macrovascular complications as compared to younger population (Corriere et al., 2013; Jin et al., 2012).

Since elderly women with DM have a higher likelihood of complications and advanced stage BC, it is important to determine how the severity of these complications contributes to advanced staged BC at diagnosis.

Thus, the aim of this study is to determine the association between the severity of diabetes complications and stage of BC at diagnosis in women with incident BC and pre-existing diabetes.

## **Conceptual Framework**

We used Danforth's model to guide the selection of covariates that may affect the association between the severity of diabetes complications and BC stage (Figure1). This model suggests that the differences in BC stage at diagnosis is affected by biological factors, and non-biological factors ( Danforth, 2013). The biological factors include age at diagnosis, race, hormone receptor (HR) status, and comorbidities. The non-biological factors include community-related factors ( Census tract level socioeconomic status (SES), region, and metropolitan status ) and access to health care (primary care visits, and availability of BC screening facilities in the area of residence) ( Danforth, 2013).

## **RESEARCH DESIGN AND METHODS**

### **Study Design**

This was a retrospective observational study in a cohort of elderly women with incident BC diagnosis and pre-existing diabetes. The cohort was followed retrospectively for 24 months prior to the BC diagnosis to assess the association between the severity of diabetes complications and stage of BC at diagnosis.

## **Data Source**

We used the US Surveillance Epidemiology and End Results (SEER) data linked with Medicare claims data (SEER-Medicare). SEER is supported by the US National Cancer Institute (NCI) to collect data from tumor registries which covered 14% to 25% of the US population including all incident cases of cancer that occur in persons residing in 18 SEER areas (Atlanta, Connecticut, Detroit, Hawaii, Iowa, New Mexico, San Francisco-Oakland, Seattle-Puget Sound, Utah, Los Angeles and San Jose-Monterey, Rural Georgia, Alaska Native, Greater California, Kentucky, Louisiana, New Jersey, and Greater Georgia) (Hellman, 1997). Information of individuals in the SEER database who have been matched with Medicare enrollment records is in a customized file known as the Patient Entitlement and Diagnosis Summary File (PEDSF). This information includes demographic features, date of cancer diagnosis, cancer site, method of diagnosis, and state of residence. For Medicare claims files, it covers 97% of the US population who are 65 years or older (Potosky et al., 1993). The claims database consists of Medicare Provider Analysis and Review (MEDPAR), the Carrier Claims (old name Physician/Supplier (NCH)), Outpatient (OUTPT), Home Health Agencies (HHA), Hospice, Durable Medical Equipment (DME) and Part D Event (PDE) files. All of these Medicare data files have been linked with PEDSF file of cancer cases from SEER using an algorithm based on the social security number, last name, first name and date of birth of an individual. Based on the linkage, a common identification number is given to each enrollee in PEDSF and claims files. We also

linked the Area Resource File (ARF) to the SEER-Medicare dataset using the state and county Federal Information Processing Standards code for each beneficiary to extract the county level information on the availability of mammography facilities.

## **Study Cohort**

Our cohort consisted of elderly women aged 67 years and older with the first primary diagnosis of incident BC between January 1, 2004 and December 31, 2011 who had pre-existing diabetes. Women must have at least 24 months of continuous enrollment in Medicare part A and B prior to the BC diagnosis and must have no enrollment in health maintenance organization (HMO) at any time during the study period. Diabetes was determined on the basis of either a single inpatient claim or at least two outpatient claim diagnoses with International Classification of Diseases, Ninth Edition, Clinical Modification (ICD-9-CM) diagnosis code of 250.xx (Luo et al., 2014) during the 12-month that preceded BC diagnosis. Women who were diagnosed with BC via death certificate or autopsy, or were with any previous cancer diagnosis, unknown or missing BC stage information were excluded from the study cohort (Figure 2).

## **Measures**

### *Outcome variable*

The outcome was cancer staging based on the American Joint Committee on Cancer's staging system. Stage at diagnosis (0-IV) of the cancer/tumor was taken from PEDSF file. For the study purpose, we will group out cohorts into four categories: elderly women with stage 0, stage I, stage II, and advanced stage (III & IV) at BC diagnosis.

### *Key independent variable*

The key independent variable was the severity of diabetes-related complications which was identified during the 12 months that preceded the BC diagnosis. The severity of diabetes-related complications was measured by end-organ damage of diabetes using the diabetes comorbidity severity index (DCSI). The DCSI was first developed by Young and colleagues to include 7 categories of diabetes complications: cardiovascular disease, nephropathy, retinopathy, peripheral vascular disease, cerebrovascular, neuropathy, and metabolic complications. These complications were identified using International Classification of Diseases, Ninth Edition, Clinical Modification (ICD-9-CM) diagnosis code to represent gradations of the diabetes complications severity (Young et al., 2008). The index for each complication was categorized into 2 or 3 levels (no abnormality = 0, some abnormality = 1, and severe abnormality = 2), based on the presence and severity of the complication, and the indices of all complications were added together to get the DCSI which is a 13-point scale with a range of 0-13 (Chang et al., 2012a; Young et al., 2008). The study cohort was divided into 4 subgroups consisting of DCSI=0, DCSI=1, DCSI=2, and  $\text{DCSI} \geq 3$ .

#### *Other independent variables*

These variables were biological factors, non-biological factors, and mammography screening use.

The biological factors included age at diagnosis, race, HR status, and other comorbid conditions. Age at BC diagnosis and race were decided using the SEER PEDSF file. Age at diagnosis was categorized as follows (in years): 67–70, 71–74, 75–79, and 80+. Race was categorized based into “White”, “African-American”, or “Other”. ICD-9 diagnosis codes in the Medicare inpatient and outpatient claims were used to identify the comorbid conditions. For HR status, SEER has recorded the estrogen receptor status and progesterone receptor status since 1990

for breast cancer cases. The hormone receptor status is categorized into positive, negative, and borderline/unknown (Elkin et al., 2006). The comorbid conditions were measured as the presence or absence of the following chronic conditions: thyroid syndrome, arthritis, asthma, Chronic Obstructive Pulmonary Disorder (COPD), dementia, hypertension, osteoporosis, anxiety, and depression.

The non-biological factors include access to health care (PCP visits, endocrinologist visits, and availability of BC screening facilities around area of women's residence) and community-related factors (census tract median household income, census tract-level education, geographic region of residence, and metropolitan status). We defined PCP as providers who had the following specialties: general practice, family medicine, primary care internal medicine, geriatric medicine, and obstetrics and gynecology. PCPs visits and endocrinologist visits were measured during the 12 months prior to BC diagnosis and was categorized into dichotomous group: yes (having at least one visit during the year that preceded BC diagnosis) or none. The availability of BC screening facilities in the area around women's residence was derived from the ARF file and dichotomized into yes or no. Education percentage was measured by the census tract survey of percent of people age > 25 with at least 4 years of college education. Census tract education percentage was categorized into 0-13.29%, 13.30%-22.83%, 22.84%-38.55%, and >38.55%. Income was measured by census tract survey of median income and was divided into <\$25,000, \$25,001-50,000, \$50,001-75,000, and >\$75,000. Breast cancer screening was identified during the 24 months that preceded the BC diagnosis using Healthcare Common Procedure Coding System (HCPCS) codes: 76085, 76092, 77052, 77057, 77063, G0202, and G0203, and ICD-9-CM diagnosis code: V7612. Women must have had at least one

mammography screening during the past 24 months to be grouped into those who had BC screening.

## **Statistical Analyses**

Descriptive statistics were obtained using frequencies and percentages for all included factors. Chi-square tests were used to test for significant differences among the four groups based on BC stage at diagnosis (0, I, II, and III/IV) among elderly women with diabetes in their baseline characteristics. The level of statistical significance was defined as a p-value  $\leq 0.05$ . Only statistically significant covariates in bivariate analyses were used in multivariable multinomial logistic regression models. To examine the associations between stage of BC at diagnosis and the severity of diabetes-related complications using DCSI, we used three multinomial logistic regression models. The first model assessed the unadjusted association between stage of BC at diagnosis and the severity of diabetes-related complications. The second model was used to partially adjust for biological and non-biological factors (except mammography screening use). The third model was used to assess the full adjusted association between severity of diabetes complication and BC stage at diagnosis controlling for all covariates: biological factors, non-biological factors and screening mammography use. The significance of the variables in the models was assessed by the Wald 2 test, odds ratios (ORs), and 95% confidence intervals (CIs).

## **RESULTS**

### **Cohort Characteristics**

Table 1 describes the study cohort of 7,729 elderly women with pre-existing diabetes, aged 67 years and older, diagnosed with a first primary incident BC in 2004–2011. About 15 % of the cohort were diagnosed at stage 0, 38.4% stage I, 29.1% stage II, and 17.7% were diagnosed at advanced stages (stage III or stage IV). About 57% of the study cohort had at least

one screening mammography during the last 24 months while 42.3% had no screening mammography. Twenty percent of the study cohort were  $\leq 70$  years old, 47.1% were in the age group 71–79 years, while 31.2% were  $\geq 80$  years old. A majority of the women were white (75.1 %), had census tract income of \$75,000 or less (81.6 %), resided in metro areas (79.3 %), had at least one PCP visit during the 12 months prior to the BC diagnosis (94.5 %), had no endocrinologist visits in the year that preceded BC diagnosis (88.1%), had positive progesterone HRS (71.2%), and had positive estrogen HRS (82.9%). For the most common comorbid chronic conditions, 70.4% had hyperlipidemia, 89.7% had hypertension, 28.3% had arthritis, and 15.8% had depression. With respect to DCSI, 38.4% had no diabetes-related complications, 13.1% had a DCSI =1, 23% had a DCSI =2, and 25.4% had a DCSI  $\geq 3$ . Compared with women who had no diabetes complications, those with DCSI  $\geq 3$  were older, more likely to have had an endocrinologist visit, less likely to have had screening mammography, and more likely to have other comorbid conditions (arthritis, thyroid syndrome, COPD, dementia, hypertension, and depression). The most frequent diabetes-related complications were cardiovascular complications (45.2%), nephropathy (19.5%), neuropathy (13.6%), while metabolic complications (1%) and retinopathy (4.9%), cerebrovascular complications (8.9%), and peripheral vascular disease (9.7%) were less frequent among incident cases of BC with pre-existing diabetes (non-tabulated).

### **Group Differences by Stage of BC at Diagnosis**

Table 2 shows the group differences in all the independent variables by stage of BC at diagnosis. The biological factors that have significant bivariate associations with stage of BC at diagnosis were DCSI, age, race, progesterone HRS, estrogen HRS, thyroid disease, arthritis, COPD, dementia, hyperlipidemia, osteoporosis, and depression. The non-biological factors that

were statistically significant in the Chi-square analyses were mammography screening, PCP visits, endocrinologist visits, availability of BC screening centers, census tract education, and census tract household income. Regarding the main predictor, elderly women who were diagnosed with advanced stage (stage III/IV) BC were more likely to have a DCSI  $\geq 3$  (29.9%) as compared to those women who were diagnosed with stage 0 (21.7%). In contrast, elderly women who were diagnosed with stage 0 of BC were more likely to have no diabetes-related complications (43.9%) as compared to those who were diagnosed in advanced stage (III/IV) BC (34.5%). The proportions of women who had received screening mammography among elderly women with advanced stage (III/IV) BC (27.3%) and stage II BC (44.7%) were very low in comparison to women who were diagnosed in stage 0 (82.3%). For other biological factors, women with advanced stage (III/IV) BC diagnosis were less likely to have positive progesterone HRS, less likely to have thyroid disease, less likely to have hyperlipidemia, and more likely to have COPD, arthritis, and dementia as compared to women who were diagnosed with stage 0 of BC. For the non-biological factors, women with advanced stage BC diagnoses were less likely to have PCP visits, less likely to reside in areas with higher proportion of individuals with at least 4 years of college education as compared to women who were diagnosed with stage 0 of BC.

### **Associations with Stage of BC at Diagnosis**

The results from the multinomial logistic regressions are reported in table 3. Model 1 presents the unadjusted association between the severity of diabetes complications using DCSI and stage of BC at diagnosis. In the unadjusted model, the severity of diabetes complications was significantly associated with BC stage at diagnosis. Women who had a DCSI =2 were 1.30 times more likely to be diagnosed at stages I (OR = 1.30; 95% CI = 1.08-1.56), 1.45 times more likely to be diagnosed at stage II (OR = 1.45; 95 % CI = 1.20–1.76), and 1.57 times more likely to be



diagnosed at advanced stage (III/IV) (OR = 1.57; 95 % CI = 1.27–1.93), as compared to those with no diabetes complications. Women who had the highest severity of diabetes-related complications (DCSI $\geq$  3) were 1.20 times more likely to be diagnosed at stage I (OR = 1.20; 95% CI = 1.00-1.43), 1.50 times more likely to be diagnosed at stage II (OR = 1.50; 95 % CI = 1.25–1.81), and 1.77 times more likely to be diagnosed at advanced stage (III/IV) (OR = 1.77; 95 % CI = 1.45–2.17), as compared to those women with no diabetes complications.

After assessing the partial adjusted association between severity of diabetes complications and stage of BC at diagnosis, controlling for biological and non-biological factors in model 2, we found that the severity of diabetes complication (a DCSI =2 and a DCSI  $\geq$  3) continue to be significantly associated with BC stage at diagnosis. For example, women with DCSI  $\geq$ 3 were 27% more likely to be diagnosed at stage I, 47% more likely to be diagnosed at stage II, and 62% more likely to be diagnosed at advanced stages (III/IV) of BC.

Model 3 shows the fully adjusted association between BC stage at diagnosis and severity of diabetes complications after controlling for biological factors, non-biological factors, and use of screening mammogram. Women with DCSI =2 were 26% more likely to be diagnosed at stage I (OR =1.26; 95% CI = 1.03-1.53) while there was no significant association with the likelihood of being diagnosed at stage II or advanced stages (III/IV) of BC, as compared to those with no diabetes complications. The highest severity of diabetes complications with DCSI  $\geq$  3 was no longer an independent predictor of BC stage at diagnosis. Women who had at least one screening mammogram during the two year that preceded BC diagnosis were 44% less likely to be diagnosed at stage I (OR = 0.56; 95% CI = 0.47-0.67), 81% less likely to be diagnosed at stage II (OR =0.19; 95% CI = 0.16-0.23), and 91% less likely to be diagnosed at advanced stages (III/IV)

(OR = 0.09; 95% CI = 0.08-0.11) of BC, as compared to women who did not receive any screening mammogram.

## DISCUSSION

In this study, we examined the relationship between severity of diabetes-related complications and stage of BC at diagnosis among a large nationally representative sample of elderly women with pre-existing diabetes and an incident BC.

Overall, severity of diabetes-related complications was associated with stage of BC at diagnosis. Adjustment for other biological and non-biological factors did not attenuate the association between severity of diabetes complications and BC stage at diagnosis. Our findings showed that among elderly women with pre-existing diabetes, women with a moderate severity of diabetes-related complications (a DCSI =2) were 32%, 42%, and 46% more likely to be diagnosed at stage I, stage II, and advanced stages (III/IV), respectively. Women with highest severity of diabetes-related complications (DCSI  $\geq 3$ ) were 27%, 47%, and 62% more likely to be diagnosed at stage I, stage II, and advanced stages (III/IV), respectively, as compared to women with no diabetes complications. According to Lipscombe et al, presence of diabetes was associated with 11% increased odds of stage II and advanced stages (III/IV) BC at diagnosis, as compared to women without diabetes ( Lipscombe et al., 2015).

After adjustment for BC screening, women with a moderate severity of diabetes complications (a DCSI =2) were more likely to be diagnosed at stage I versus stage 0, as compared to women without diabetes complications. However, increased likelihood of being diagnosed at advanced stages of BC for elderly women with pre-existing diabetes did not reach statistical significance. Our results revealed that BC screening may mediate the association

between the severity of diabetes-related complications and likelihood of having advanced-stages BC at diagnosis. It is possible that increase in severity of diabetes complications result in decreases in screening mammography use which may lead to delayed diagnosis of BC. Thus, more advanced diabetes-related care is required to deal with the complexity of diabetes disease among elderly women to avoid the risk of serious comorbid condition, such as cancer in advanced stages which burden the disease management. One good example of such care is Medicare's chronic care management that provide a comprehensive care coordination for elderly with multiple chronic conditions to facilitate access to care and receiving preventive care along with disease management (Garwood et al., 2016).

Although previous research (Lipscombe et al., 2015; Luo et al., 2015) found that diabetes was an independent predictor of the risk of advanced stage (III/IV) BC at diagnosis as compared to women without diabetes even after accounting for BC screening mammography, we found that the severity of diabetes-related complications is associated with this risk through its negative impact on BC screening.

The strengths of our study include modeling a comprehensive list of biological factors (e.g. comorbid conditions and hormone receptor status) and non-biological factors (e.g. access to health care, and community-related factors). To assess the severity of diabetes-related complications, we used DCSI which captures both the type and severity of complications while a simple count of complications does not take the severity of each complication into account (Young et al., 2008). In addition to its use as a measure of diabetes severity, a study by Young et al. found that this index may be considered as a proxy measure for diabetes duration (Young et al., 2008). Young et al found that severity index of diabetes complications was highly correlated with diabetes duration, and it attenuated the significant impact of diabetes duration on mortality

after it was added to the analysis model (Young et al., 2008). Because diabetes may remained undiagnosed for years, using DCSI as a severity measure of long- term complications probably demonstrate the consequences of biologic markers of diabetes duration (Harris & Eastman, 2000). Also, the use of large population-based data (SEER-Medicare) enabled us to identify incident breast cancer cases and assess all possible risk factors and pre-existing conditions.

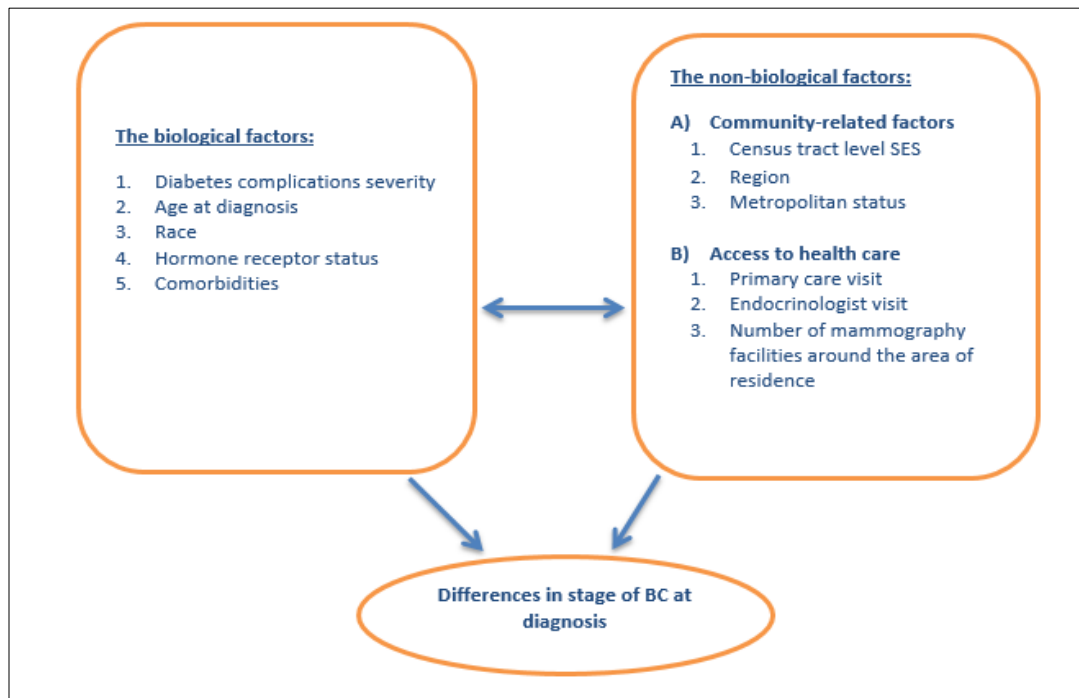
However, our study has several potential limitations that should be mentioned. Although we controlled for many biological and non-biological variables that could be associated with BC stage at diagnosis, we lacked data on other factors, such as obesity and family history of BC which could have residual confounding effect. Second, exclusions, such as 6 % of BC cases with missing stage of BC and 32% of BC cases with no continuous enrollment in part A & B or enrollment in HMO any time during the study period may have affected the generalizability of our findings. Third, since we used claims database instead of medical records to measure DSCI, the index was measured without laboratory results. However, a study by Chang et al. tested the validity of DCSI without laboratory results and they found that the DCSI without laboratory results and the DCSI with laboratory information perform similarly (Chang et al., 2012b). Other limitations include lack of some biological information, such as blood glucose level, and glycosylated hemoglobin A1c lab results.

In conclusion, our study provides evidence that the severity of diabetes-related complications is associated with stage of BC at diagnosis and has an indirect association with the risk of advanced-stages diagnosis of BC among women with pre-existing diabetes. The increased likelihood of advanced-stage BC diagnosis that is associated with the severity of diabetes-related complications may be mainly driven by lower rates of BC screening among those with diabetes complications.

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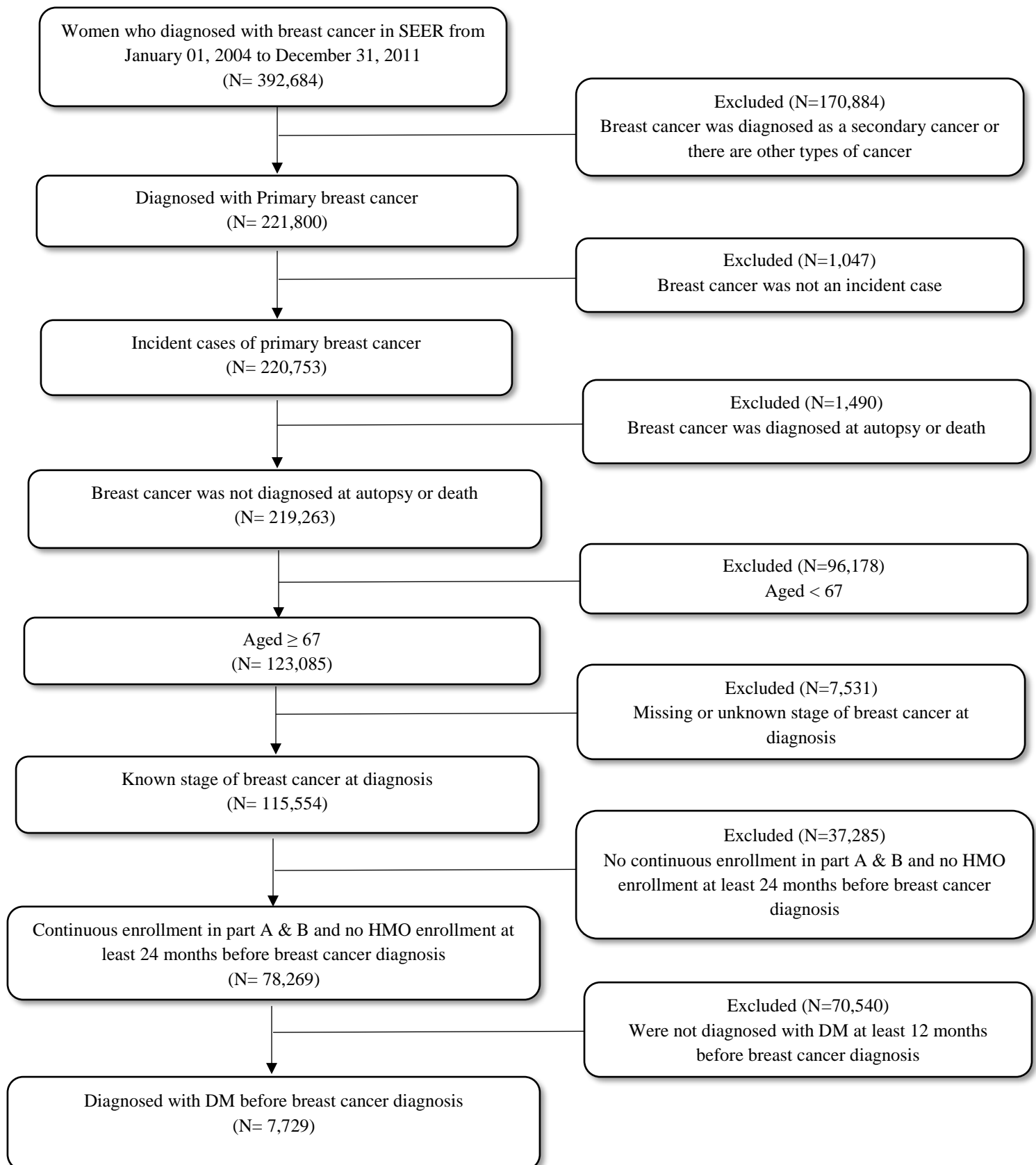
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**Figure 1: Conceptual framework based on Danforth's model**

**Figure 2: Study Cohort Selection Flowchart**





**Table 1: The Baseline Characteristics of the Study Cohort\* by Diabetes Complications Severity Index**

	<b>All Women, N=7729</b>		<b>DCSI=0, N= 2968</b>		<b>DCSI=1, N= 1009</b>		<b>DCSI=2, N= 1788</b>		<b>DCSI≥3, N= 1964</b>	
<b>Characteristic</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>
<b>Mammography screening</b>										
Annual/biennial	4463	57.7	1951	65.7	654	64.8	941	52.6	917	46.7
No screening	3266	42.3	1017	34.3	355	35.2	847	47.4	1047	53.3
<b>Age group</b>										
67-70	1677	21.7	774	26.1	239	23.7	301	16.8	363	18.5
71-74	2075	26.8	858	28.9	278	27.6	477	26.7	462	23.5
75-79	1566	20.3	572	19.3	201	19.9	371	20.7	422	21.5
≥80	2411	31.2	764	25.7	291	28.8	639	35.7	717	36.5
<b>Race</b>										
White	5804	75.1	2271	76.5	758	75.1	1373	76.8	1402	71.4
African American	1370	17.7	443	14.9	175	17.3	303	16.9	449	22.9
Others	555	7.2	254	8.6	76	7.5	112	6.3	113	5.8
<b>Progesterone receptor status</b>										
Positive	4840	62.6	1888	63.6	658	65.2	1119	62.6	1175	59.8
Negative	1957	25.3	756	25.5	244	24.2	435	24.3	522	26.6
Borderline/Unknown	932	12.1	324	10.9	107	10.6	234	13.1	267	13.6
<b>Estrogen receptor status</b>										
Positive	5718	74.0	2243	75.6	759	75.2	1313	73.4	1403	71.4
Negative	1177	15.2	447	15.1	155	15.4	262	14.7	313	15.9
Borderline/Unknown	834	10.8	278	9.4	95	9.4	213	11.9	248	12.6
<b>Thyroid syndrome</b>										
Yes	1862	24.1	592	19.9	264	26.2	459	25.7	547	27.9
No	5867	75.9	2376	80.1	745	73.8	1329	74.3	1417	72.1
<b>Arthritis</b>										
Yes	2188	28.3	736	24.8	313	31.0	518	29.0	621	31.6
No	5541	71.7	2232	75.2	696	69.0	1270	71.0	1343	68.4
<b>Asthma</b>										
Yes	624	8.1	170	5.7	73	7.2	195	10.9	186	9.5
No	7105	91.9	2798	94.3	936	92.8	1593	89.1	1778	90.5
<b>COPD</b>										
Yes	1222	15.8	239	8.1	118	11.7	380	21.3	485	24.7
No	6507	84.2	2729	91.9	891	88.3	1408	78.7	1479	75.3
<b>Dementia</b>										
Yes	625	8.1	148	5.0	66	6.5	162	9.1	249	12.7
No	7104	91.9	2820	95.0	943	93.5	1626	90.9	1715	87.3
<b>Hyperlipidemia</b>										
Yes	5443	70.4	2046	68.9	754	74.7	1242	69.5	1401	71.3
No	2286	29.6	922	31.1	255	25.3	546	30.5	563	28.7
<i>...Continued</i>										

**Table 1: The Baseline Characteristics of the Study Cohort\* by Diabetes Complications Severity Index**

	<b>All Women, N=7729</b>		<b>DCSI=0, N= 2968</b>		<b>DCSI=1, N= 1009</b>		<b>DCSI=2, N= 1788</b>		<b>DCSI≥3, N= 1964</b>	
<b>Characteristic</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>
<b>Hypertension</b>										
Yes	6936	89.7	2489	83.9	919	91.1	1648	92.2	1880	95.7
No	793	10.3	479	16.1	90	8.9	140	7.8	84	4.3
<b>Osteoporosis</b>										
Yes	626	8.1	219	7.4	79	7.8	172	9.6	156	7.9
No	7103	91.9	2749	92.6	930	92.2	1616	90.4	1808	92.1
<b>Anxiety</b>										
Yes	767	9.9	217	7.3	115	11.4	200	11.2	235	12.0
No	6962	90.1	2751	92.7	894	88.6	1588	88.8	1729	88.0
<b>Depression</b>										
Yes	1221	15.8	340	11.5	172	17.0	311	17.4	398	20.3
No	6508	84.2	2628	88.5	837	83.0	1477	82.6	1566	79.7
<b>PCP visit</b>										
Yes	7301	94.5	2743	92.4	958	94.9	1714	95.9	1886	96.0
No	428	5.5	225	7.6	51	5.1	74	4.1	78	4.0
<b>Endocrinologist visit</b>										
Yes	919	11.9	252	8.5	143	14.2	198	11.1	326	16.6
No	6810	88.1	2716	91.5	866	85.8	1590	88.9	1638	83.4
<b>Availability of BC screening centers</b>										
Yes	3661	47.4	1408	47.4	470	46.6	856	47.9	927	47.2
No	4068	52.6	1560	52.6	539	53.4	932	52.1	1037	52.8
<b>Census tract education percentage</b>										
0-13.29%	1614	20.9	589	19.8	206	20.4	387	21.6	432	22.0
13.30%-22.83%	1773	22.9	703	23.7	215	21.3	387	21.6	468	23.8
22.84%-38.55%	1499	19.4	587	19.8	205	20.3	343	19.2	364	18.5
>38.55%	1251	16.2	502	16.9	168	16.7	298	16.7	283	14.4
Missing	1592	20.6	587	19.8	215	21.3	373	20.9	417	21.2
<b>Census tract household median income</b>										
< \$25,000	488	6.4	178	6.0	62	6.2	106	6.0	142	7.3
\$25,001-50,000	3327	43.3	1245	42.2	446	44.7	751	42.2	885	45.4
\$50,001-75,000	2447	31.9	974	33.0	314	31.5	555	31.2	604	31.0
>\$75,000	1413	18.4	553	18.7	175	17.6	366	20.6	319	16.4
<b>SEER region</b>										
Northeast	1336	17.3	494	16.6	174	17.2	344	19.2	324	16.5
South	2346	30.4	835	28.1	288	28.5	561	31.4	662	33.7
North-central	1332	17.2	527	17.8	188	18.6	283	15.8	334	17.0
West	2715	35.1	1112	37.5	359	35.6	600	33.6	644	32.8

*...Continued*

**Table 1: The Baseline Characteristics of the Study Cohort\* by Diabetes Complications Severity Index**

	All Women, N=7729		DCSI=0, N= 2968		DCSI=1, N= 1009		DCSI=2, N= 1788		DCSI≥3, N= 1964	
Characteristic	No.	%	No.	%	No.	%	No.	%	No.	%
<b>Metropolitan status</b>										
Metro	6131	79.3	2315	78.0	821	81.4	1420	79.4	1575	80.2
Urban	1391	18.0	573	19.3	161	16.0	324	18.1	333	17.0
Rural	205	2.7	79	2.7	27	2.7	44	2.5	55	2.8

\*A cohort of 7,729 elderly women with incident breast cancer and pre-existing DM using SEER-Medicare dataset 2004-2011.

DCSI = Diabetes complications severity index; PCP = Primary care providers;

COPD = Chronic Obstructive Pulmonary Disorder; SEER = Surveillance, Epidemiology, and End Results; BC = Breast cancer.

**Table 2: Description of Elderly Women with Incident Breast Cancer and Pre-existing Diabetes mellitus by Stage at Diagnosis, SEER-Medicare 2004–2011 cases**

Variables	Stage 0		Stage I		Stage II		Stage III/IV		sig
	N	%	N	%	N	%	N	%	
<b>Total</b>	1161	100	2968	100	2246	100	1354	100	
<b>DCSI</b>									***
DCSI = 0	510	43.9	1173	39.5	818	36.4	467	34.5	
DCSI = 1	168	14.5	413	13.9	274	12.2	154	11.4	
DCSI = 2	231	19.9	688	23.2	541	24.1	328	24.2	
DCSI ≥ 3	252	21.7	694	23.4	613	27.3	405	29.9	
<b>Mammography screening</b>									***
Annual/biennial	956	82.3	2133	71.9	1005	44.7	369	27.3	
No screening	205	17.7	835	28.1	1241	55.3	985	72.7	
<b>Age group</b>									***
67-70	317	27.3	666	22.4	448	19.9	246	18.2	
71-74	367	31.6	803	27.1	565	25.2	340	25.1	
75-79	213	18.3	629	21.2	457	20.3	267	19.7	
≥80	264	22.7	870	29.3	776	34.6	501	37.0	
<b>Race</b>									***
White	809	69.7	2339	78.8	1683	74.9	973	71.9	
African American	265	22.8	423	14.3	400	17.8	282	20.8	
Others	87	7.5	206	6.9	163	7.3	99	7.3	
<b>Progesterone receptor status</b>									***
Positive	586	50.5	2121	71.5	1440	64.1	693	51.2	
Negative	221	19.0	635	21.4	647	28.8	454	33.5	
Borderline/Unknown	354	30.5	212	7.1	159	7.1	207	15.3	
<b>Estrogen receptor status</b>									***
Positive	707	60.9	2453	82.6	1704	75.9	854	63.1	
Negative	142	12.2	329	11.1	401	17.9	305	22.5	
Borderline/Unknown	312	26.9	186	6.3	141	6.3	195	14.4	
<b>Thyroid</b>									***
Yes	281	24.2	768	25.9	548	24.4	265	19.6	
No	880	75.8	2200	74.1	1698	75.6	1089	80.4	
<b>Arthritis</b>									*
Yes	287	24.7	854	28.8	645	28.7	402	29.7	
No	874	75.3	2114	71.2	1601	71.3	952	70.3	
<b>Asthma</b>									
Yes	93	8.0	257	8.7	187	8.3	87	6.4	
No	1068	92.0	2711	91.3	2059	91.7	1267	93.6	

...Continued

**Table 2: Description of Elderly Women with Incident Breast Cancer and Pre-existing Diabetes mellitus by Stage at Diagnosis, SEER-Medicare 2004–2011 cases**

Variables	Stage 0		Stage I		Stage II		Stage III/IV		sig
	N	%	N	%	N	%	N	%	
<b>COPD</b>									***
Yes	150	12.9	440	14.8	365	16.3	267	19.7	
No	1011	87.1	2528	85.2	1881	83.7	1087	80.3	
<b>Dementia</b>									***
Yes	56	4.8	150	5.1	233	10.4	186	13.7	
No	1105	95.2	2818	94.9	2013	89.6	1168	86.3	
<b>Hyperlipidemia</b>									***
Yes	890	76.7	2214	74.6	1507	67.1	832	61.4	
No	271	23.3	754	25.4	739	32.9	522	38.6	
<b>Hypertension</b>									
Yes	1044	89.9	2671	90.0	2022	90.0	1199	88.6	
No	117	10.1	297	10.0	224	10.0	155	11.4	
<b>Osteoporosis</b>									*
Yes	99	8.5	266	9.0	152	6.8	109	8.1	
No	1062	91.5	2702	91.0	2094	93.2	1245	91.9	
<b>Anxiety</b>									
Yes	104	9.0	288	9.7	242	10.8	133	9.8	
No	1057	91.0	2680	90.3	2004	89.2	1221	90.2	
<b>Depression</b>									***
Yes	160	13.8	427	14.4	399	17.8	235	17.4	
No	1001	86.2	2541	85.6	1847	82.2	1119	82.6	
<b>PCP visit</b>									*
Yes	1109	95.5	2820	95.0	2110	93.9	1262	93.2	
No	52	4.5	148	5.0	136	6.1	92	6.8	
<b>Endocrinologist visit</b>									*
Yes	162	14.0	370	12.5	243	10.8	144	10.6	
No	999	86.0	2598	87.5	2003	89.2	1210	89.4	
<b>Availability of BC screening centers</b>									***
Yes	536	46.2	1490	50.2	1034	46.0	601	44.4	
No	625	53.8	1478	49.8	1212	54.0	753	55.6	
<b>Census tract education</b>									**
0-13.29%	236	20.3	573	19.3	494	22.0	311	236	
13.30%-22.83%	262	22.6	705	23.8	495	22.0	311	262	
22.84%-38.55%	230	19.8	612	20.6	410	18.3	247	230	
>38.55%	208	17.9	494	16.6	364	16.2	185	208	
Missing	225	19.4	584	19.7	483	21.5	300	225	

*...Continued*

**Table 2: Description of Elderly Women with Incident Breast Cancer and Pre-existing Diabetes mellitus by Stage at Diagnosis, SEER-Medicare 2004–2011 cases**

Variables	Stage 0		Stage I		Stage II		Stage III/IV		sig
	N	%	N	%	N	%	N	%	
<b>Census tract household income</b>									*
< \$25,000	72	6.3	174	5.9	142	6.4	100	7.5	
\$25,001-50,000	490	42.5	1245	42.2	977	43.7	615	45.9	
\$50,001-75,000	360	31.3	953	32.3	716	32.0	418	31.2	
>\$75,000	230	20.0	576	19.5	400	17.9	207	15.4	
<b>SEER region</b>									
Northeast	194	16.7	492	16.6	393	17.5	257	19.0	
South	338	29.1	897	30.2	679	30.2	432	31.9	
North-central	225	19.4	503	16.9	374	16.7	230	17.0	
West	404	34.8	1076	36.3	800	35.6	435	32.1	
<b>Metropolitan status</b>									
Metro	940	81.0	2321	78.2	1782	79.3	1088	80.4	
Urban	195	16.8	562	18.9	400	17.8	234	17.3	
Rural	26	2.2	84	2.8	64	2.8	31	2.3	

DCSI = Diabetes complications severity index; PCP = Primary care providers; COPD = Chronic Obstructive Pulmonary Disorder; SEER = Surveillance, Epidemiology, and End Results; BC = Breast cancer; DM = Diabetes mellitus.

Asterisks represent statistically significant group differences based on  $\chi^2$  tests by stage of BC at diagnosis:

\*\*\*  $p < 0.001$ ; \*\*  $0.001 < p < 0.01$ ; \*  $0.01 < p < 0.05$

**Table 3: Association of Diabetes Complication Severity Index with Breast Cancer Stage at Diagnosis among Elderly women with pre-existing Diabetes mellitus**

Variables	Stage I			Stage II			Stage III/IV		
	OR	95% CI	Sig	OR	95% CI	Sig	OR	95% CI	Sig
<b>Model 1</b>									
<b>DCSI</b>									
DCSI = 0	Ref								
DCSI = 1	1.06	[ 0.86, 1.30]		1.01	[ 0.81, 1.26]		0.99	[ 0.77, 1.28]	
DCSI = 2	1.30	[ 1.08, 1.56]	**	1.45	[ 1.20, 1.76]	***	1.57	[ 1.27, 1.93]	***
DCSI ≥ 3	1.20	[ 1.00, 1.43]	*	1.50	[ 1.25, 1.81]	***	1.77	[ 1.45, 2.17]	***
<b>Model 2</b>									
<b>DCSI</b>									
DCSI = 0	Ref								
DCSI = 1	1.05	[ 0.84, 1.31]		0.99	[ 0.79, 1.25]		1.00	[ 0.77, 1.30]	
DCSI = 2	1.32	[ 1.09, 1.61]	**	1.42	[ 1.16, 1.74]	***	1.46	[ 1.17, 1.83]	***
DCSI ≥ 3	1.27	[ 1.05, 1.54]	*	1.47	[ 1.20, 1.80]	***	1.62	[ 1.30, 2.01]	***
<b>Model 3</b>									
<b>DCSI</b>									
DCSI = 0	Ref								
DCSI = 1	1.05	[ 0.84, 1.31]		0.98	[ 0.77, 1.25]		0.97	[ 0.74, 1.29]	
DCSI = 2	1.26	[ 1.03, 1.53]	*	1.22	[ 0.99, 1.51]		1.17	[ 0.93, 1.48]	
DCSI ≥ 3	1.18	[ 0.97, 1.44]		1.17	[ 0.95, 1.44]		1.16	[ 0.92, 1.46]	
<b>Mammography screening</b>									
Annual/biennial	0.56	[ 0.47, 0.67]	***	0.19	[ 0.16, 0.23]	***	0.09	[ 0.08, 0.11]	***
No screening	Ref								

DCSI = Diabetes complications severity index; BC = Breast cancer; OR = Odds ratio; AOR, adjusted odds ratio; CI = Confidence intervals.

Model 1 contains DCSI only. Model 2 contains DCSI plus age, race, progesterone receptor status, estrogen receptor status, comorbid conditions (thyroid, arthritis, COPD, dementia, hyperlipidemia, osteoporosis, and depression), PCP visit, endocrinologist visit, availability of mammography screening centers, census tract education, and census tract household income. Model 3 contains Model 2 plus mammography screening.

Odds ratios and 95% CI from the multinomial logistic regression models

Asterisks represent statistically significant group differences compared with the reference group:

\*\*\*p<.001; \*\*.001 < p <.01; \* .01 < p<.05

## **CHAPTER FOUR**

**“The Severity of Diabetes Complications in Relation to All-cause Mortality in Elderly Women with pre-existing Diabetes and Incident Breast Cancer”**



## ABSTRACT

**Objective:** Since pre-existing diabetes has been associated with increased risk of all-cause mortality in incident breast cancer (BC) cases, it is prudent to investigate how diabetes-related complications and diabetes severity contribute to its impact on all-cause mortality of newly diagnosed BC cases. Therefore, the aim of this study was to explore the relationship between severity of diabetes complications and all-cause mortality in elderly women diagnosed with BC and pre-existing diabetes.

**Methods:** Using the linked SEER-Medicare data, we included a cohort of women age  $\geq 67$  years diagnosed with BC from 2007 to 2011 and having pre-existing diabetes ( $N = 4,307$ ) among Medicare beneficiaries who were continuously enrolled in Parts A and B 24 months before BC diagnosis and 6 months after BC diagnosis, and were continuously enrolled in Part D three months after BC diagnosis. Chi-square tests were used to test for significant difference in 3-years all-cause mortality based on severity of diabetes complications and other independent variables. Unadjusted and adjusted Cox proportional hazards models were used to estimate hazards ratios (HR) of all-cause mortality within 3 years of BC diagnosis based on severity of diabetes-related complications controlling for antidiabetic medication, cancer characteristics, patients-related factors and cancer treatment.

**Results:** Adjusting for all available covariates among elderly women with pre-existing diabetes and incident BC, severity of diabetes complication was significantly associated with all-cause mortality within three years of BC diagnosis. Women with a DCSI =1, DCSI =2, and DCSI  $\geq 3$  had 34% (HR = 1.34; 95% CI = 1.02-1.75), 69% (HR = 1.69; 95% CI = 1.39-2.05), and 124%

(HR = 2.24; 95% CI = 1.86-2.70) increased risk of death within 3 years after BC diagnosis, respectively, as compared to those without diabetes complications.

**Conclusions:** Our findings suggest that severity of diabetes-related complications increase the risk of all-cause mortality of incidence breast cancer in elderly women with pre-existing diabetes. The continuum of diabetes care to control its complications after BC diagnosis along with cancer care is necessary to reduce mortality rates in incident BC cases with pre-existing diabetes.

**Keywords:** All-cause mortality, Breast cancer, Diabetes, Diabetes Complications Severity Index

## INTRODUCTION

Elderly women with diabetes are burdened with aging process, disease complexity, comorbid conditions, and diabetes-related complications that negatively impact their health outcomes (Laiteerapong, Huang, & Chin, 2011). Past studies have shown that diabetes-related complications are the major cause of deaths among individuals with diabetes (Cusick et al., 2005). A study by Cusick et al showed that the risk of mortality increases as the degree of diabetes complications worsens (Cusick et al., 2005)

Also, elderly women with diabetes are at elevated risk of incident breast cancer (BC) through its direct pathophysiological effects and by its negative impact on BC screening use (Bernard et al., 2016; Onitilo et al., 2014). Approximately 16% of elderly women with BC have pre-existing diabetes (Wolf et al., 2005). Diabetes is also associated with high mortality rate after BC diagnosis among women with diabetes as compared to women without diabetes (Barone et al., 2008; Cleveland et al., 2012; De Bruijn et al., 2013; Lam et al., 2011). Both age and diabetes are associated with decreased overall survival in elderly with incident breast cancer (Patnaik et al., 2011). A meta-analysis by Pears et al demonstrated that diabetes was associated with a 49 % increase in risk of all-cause mortality among women with BC (Pears et al., 2011).

Although the severity of diabetes complications could contribute to higher mortality among women with diabetes, little is known about the impact of severity of diabetes-related complications on risk of all-cause mortality among incident BC cases. Evidence from previous literature has revealed that the presence of some diabetes complications could affect cancer therapy (Morsy & Heeba, 2016; Pears et al., 2011; Volkova & Russell, 2011), which could

impact patients' health outcomes. However, there are limited evidence in the literature on the direct impact of overall severity of diabetes-related complications on all-cause mortality of incident BC after controlling for cancer therapy and other possible risk factors.

Therefore, the objective of this study was to evaluate the relationship between severity of diabetes complications and all-cause mortality among elderly women with incident BC and pre-existing diabetes.

### **Conceptual framework**

We used the model of comorbidity and cancer (Geraci et al., 2005) to guide our study. This model is useful to assess the potential impact of pre-existing specific comorbidity on cancer prognosis (e.g. survival and mortality) based on multivariable analyses (Geraci et al., 2005). This model has been widely used and validated among patients with breast, ovarian, and pancreatic cancers (Du et al., 2002; Hershman et al., 2004; Krzyzanowska, Weeks, & Earle, 2003). The model assumes that the overall likelihood of survival of patients with cancer decreases as the burden of comorbid conditions increases (Piccirillo et al., 2004). Based on this model, all-cause mortality of incident BC was predicted by burden of pre-existing diabetes, which was measured by diabetes complications severity index (DCSI) controlling for the characteristics of incident BC, patient factors, and cancer treatment.

## **RESEARCH DESIGN AND METHODS**

### **Study Design & Data Source**

This was a retrospective cohort study in elderly women with incident BC diagnosis and pre-existing diabetes using the linked SEER-Medicare dataset.

The SEER program is supported by the National Cancer Institute (NCI) to collect data from cancer registries including all incident cases of cancer that occur in SEER areas (Hellman, 1997). For each incident cancer identified, the SEER program collects information in a customized file known as the Patient Entitlement and Diagnosis Summary File (PEDSF) which contains demographic features, date of cancer diagnosis, cancer site, method of diagnosis, and state of residence. PEDSF file has been linked with Medicare data files using an algorithm based on the social security number, last name, first name and date of birth of an individual. Medicare claims files consists of Medicare Provider Analysis and Review (MEDPAR), the Carrier Claims (old name Physician/Supplier (NCH)), Outpatient (OUTPT), Home Health Agencies (HHA), Hospice, Durable Medical Equipment (DME) and Part D Event (PDE) files. Based on the linkage, a common identification number is given to each enrollee in PEDSF and claims files. We also linked the Area Resource File (ARF) to the SEER-Medicare dataset using the state and county Federal Information Processing Standards code for each beneficiary to extract the county level information on the availability of mammography facilities and oncology centers in women's area of residence.

## **Study Cohort**

The study was conducted in a cohort of women of 67 years or older with the first primary diagnosis of incident BC between January 1, 2007 and December 31, 2011 who had pre-existing diabetes. Figure 1 shows the flow chart to obtain final study cohort. Eligible Medicare beneficiaries were continuously enrolled in Medicare Part A and B fee-for-service programs at least 24 months before BC diagnosis and 6 months after BC diagnosis, and they were continuously enrolled in Part D at least 3 months after BC diagnosis. Also, they must have no enrollment in health maintenance organization (HMO) at any time during the study period. Pre-

existing diabetes was determined based on either a single inpatient claim or at least two outpatient claims diagnoses with International Classification of Diseases, Ninth Edition, Clinical Modification (ICD-9-CM) diagnosis code of 250.xx during the 24-month that preceded BC diagnosis. Women whose BC was diagnosed via a death certificate or autopsy, or who were with any previous cancer diagnosis were excluded from the study cohort.

## **Measures**

### *Outcome variable*

The study outcome was all-cause mortality that included breast cancer-specific mortality, diabetes-specific mortality and mortality from other causes (Patnaik et al., 2011). All-cause mortality is the most commonly used outcome for research on cancer survivorship (Patnaik et al., 2011) because it is not affected by bias in classifying cause of death in comparison to disease-specific mortality (Black, Haggstrom, & Welch, 2002; Hanna et al., 2012). The study cohort was followed to death or to the end of third year after BC diagnosis, whichever occurred first. Observations were censored for women who were alive more than 3 years after BC diagnosis.

### *Key independent variable*

The main predictor of all-cause mortality in this study was the diabetes severity which was identified during the 12 months before BC diagnosis. The severity of diabetes-related complications was measured by end-organ damage of diabetes using the diabetes comorbidity severity index (DCSI). The DCSI was first developed by Young and colleagues to include 7 categories of diabetes complications: cardiovascular disease, nephropathy, retinopathy, peripheral vascular disease, cerebrovascular, neuropathy, and metabolic complications. These complications were identified using International Classification of Diseases, Ninth Edition,

Clinical Modification (ICD-9-CM) diagnosis code to represent gradations of the diabetes complications severity (Young et al., 2008). The index for each complication was categorized into 2 or 3 levels (no abnormality = 0, some abnormality = 1, and severe abnormality = 2), based on the presence and severity of the complication, and the indices of all complications were added together to get the DCSI which is a 13-point scale with a range of 0-13 (Chang et al., 2012a; Young et al., 2008). The study cohort was divided into 4 subgroups consisting of DCSI=0, DCSI=1, DCSI=2, and  $DCSI \geq 3$ .

#### *Other independent variables*

The variables were classified based on the model of comorbidity and cancer into the characteristics of incident BC, patient's factors, and cancer treatment.

The characteristics of incident BC are BC stage at diagnosis and HR status. Stage of BC at diagnosis was identified based on the American Joint Committee on Cancer's staging system. Stage at diagnosis (0-IV) of the cancer/tumor was taken from the SEER PEDSF file. For the study purpose, we grouped out cohorts into two categories: women with early stage diagnosis (stages 0, I & II) and women with advanced stage diagnosis (stages III & IV) of BC. HR status (progesterone and estrogen) was categorized into positive, negative, and borderline/unknown.

Patient factors included age at diagnosis, race, SEER areas, metropolitan status, Census tract education percentage, Census tract median household income, pre-existing comorbidities, and diabetes medications. Age was categorized into 67–70, 71-74, 75-79, and 80+. Race was categorized into white, African-American, and others. SEER regions were Northeast, South, North Central, and West. Metropolitan status of patients' residence was classified as metro, urban, and rural. Census tract education percentage was measured by the census tract survey of percent of people age > 25 with at least 4 years of college education. Census tract education

percentage was divided into four categories: 0-13.29%, 13.30%-22.83%, 22.84%-38.55%, and >38.55%. Income was measured by census tract survey of median income and was also divided into four categories: <\$25,000, \$25,001-50,000, \$50,001-75,000, and >\$75,000. Pre-existing comorbidities was identified during the 12 months before BC diagnosis through the presence or absence of the certain chronic conditions: thyroid syndrome, arthritis, asthma, chronic obstructive pulmonary disorder (COPD), dementia, hyperlipidemia, hypertension, osteoporosis, anxiety, and depression. We also include antidiabetic medications: metformin use (yes/no), insulin use (yes/no), and sum of other oral diabetes medications (ODMs) (0, 1, or  $\geq 2$  medications). These other diabetes medications included sulfonylureas, thiazolidinediones, meglitinides, alpha-glucosidase, glucagonlike peptide-1 agonists, dipeptidyl peptidase IV inhibitors, amylinomimetics, bile acid sequestrates, and dopamine agonists. Women were defined as users of any of diabetes medication including insulin, metformin and ODMs during the baseline period if they had  $\geq 1$  dispensing of a medication during the first 3 months after the incident BC diagnosis. These medications were identified from Part D claims using generic names.

Cancer treatment was identified from MEDPAR, Outpatient, and NCH data files using ICD-9 diagnostic and procedure, and Current Procedural Terminology/ Healthcare Common Procedure Coding System (CPT/HCPCS) which was discussed in previous research (LeMasters, Madhavan, & Sambamoorthi, 2016) . These codes were used to determine whether a woman had received chemotherapy, radiation or had undergone any surgery within 180 days from the date of BC diagnosis. We also controlled for primary care providers (PCPs) visits, endocrinologist visits, and oncologist visits. We defined PCPs as providers who had the following specialties: general practice, family medicine, primary care internal medicine, geriatric medicine, and obstetrics and



gynecology. PCPs visits, endocrinologist visits, and oncologist visits were measured during the 6 months after BC diagnosis. PCPs visits and endocrinologist visits were categorized into dichotomous group: yes (having at least one visit during the 6 months after BC diagnosis) or no. Oncologist visits was divided into 0-10, 11-20, and  $\geq 20$  visits.

## **Statistical Analyses**

Descriptive analyses were performed for all study variables as mean and standard deviation (SD) or frequencies and percentages. Chi-square tests of general associations were used to test for significant group differences between independent measures and women who were dead or alive 3 years after BC diagnosis, with significance level at  $P \leq 0.05$ . Cox proportional hazards regression models were used to estimate the risk of death at any time within 3 years of BC diagnosis based on the index of diabetes-related complications, adjusting for other independent variables. Patients with incident BC and pre-existing diabetes were considered at risk from baseline (the 12-months period that preceded BC diagnosis), and then they were followed till the death or the end of third year whichever comes first. Parameter estimates calculated in the regression models were presented as unadjusted and adjusted hazard ratios (HR) with their corresponding 95% confidence intervals (CI). All analyses were conducted using SAS version 9.4 software (SAS Institute Inc., Cary, NC).

## **RESULTS**

### **Cohort Characteristics**

Among elderly women with incident BC (2007-2011) and pre-existing diabetes, the average time-to-death was 874.61 ( $SD = \pm 535.63$ ) days and the average diabetes complications severity index was 1.46 ( $SD = \pm 1.76$ ). Characteristics of the 4,307 women with incident BC and pre-existing diabetes are described in details in Table 1. The majority of women in the cohort were white (73.8%), diagnosed with early stages (0, I, & II) of BC (85.1%), had no diabetes

complications (45.8%), had positive progesterone HR status (65.0%) and positive estrogen HR status (76.4%), lived in metro areas (77.8%), lived in areas with availability of oncology treatment centers (68.9%), lived in areas where the average annual income was greater than \$25,000 (90.0%), and lived in areas where 13.3% or more of the population was college educated (72.6%). Regarding pre-existing comorbidities, most of the women had hyperlipidemia (69.9%), and hypertension (87.7%), while 27.4% had arthritis, 23.8% had thyroid syndrome, 15.3% had depression, 14.6% had COPD, 10.1% had anxiety, 8.4% had asthma, 8.3% had dementia, and 7.8% had osteoporosis. For the visits to physicians in the first 6 months after BC diagnosis, most women had at least one PCP visit (78.3%), had at least 11 oncologist visits (75.6%), and had no endocrinologist visit (90.8%). The most frequent diabetes-related complications were cardiovascular complications (39.6%), nephropathy (17.9%), neuropathy (11.9%), while metabolic complications (0.7%), retinopathy (4.4%), cerebrovascular complications (7.4%), and peripheral vascular disease (8.5%) were less frequent among incident cases of BC with pre-existing diabetes. Most of women with incident BC and pre-existing diabetes had received surgical treatment in the first 6 months after BC diagnosis (86.0%), 36% had received radiation while only 18% had received chemotherapy. There were 1021 (23.7%) women who used insulin in the first 3 months after BC diagnosis, 1490 (34.6%) used metformin, and 1744 (40.5%) used at least one of the other oral diabetes medications. Compared with women who had no diabetes complications (DCSI=0), those with  $DCSI \geq 3$  were older, more likely to use insulin, less likely to use metformin, less likely to use ODMs, less likely to receive radiotherapy, more likely to have PCP visits, and more likely to have other pre-existing comorbid conditions (thyroid syndrome, arthritis, COPD, dementia, hypertension, and depression).

## Group Differences by 3-Year Mortality

Table 2 shows the group differences in all the independent variables by 3-year mortality. Among a cohort of women with incident BC and pre-existing diabetes, 21.8% died by the end of three years after BC diagnosis. The variables that were significantly different between women who died within 3 years after BC diagnosis, and those who were alive by the end of the third year included severity of diabetes-related complications, insulin use, metformin use, age, race, stage of BC at diagnosis, HR status, chemotherapy reception, radiation reception, surgery, endocrinologist visit, number of oncologists visits, availability of oncology treatment centers, and SEER regions. The pre-existing comorbid conditions that were significantly associated with 3-year all-cause mortality included asthma, COPD, dementia, hyperlipidemia, anxiety, and depression.

### *Severity of Diabetes Complications and 3-year All-cause Mortality*

Among women who died within the first 3 years after BC diagnosis, a higher proportion (37.6%) had highest severity of diabetes complication ( $DCSI \geq 3$ ) as compared to those who were still alive 3 years after BC diagnosis (17.3%). In contrast, a lower proportion of women who died within 3 years after BC diagnosis were without diabetes complications as compared to those who were still alive 3 years after BC diagnosis (29.4% vs. 50.4%).

### *Other independent variables and 3-year All-cause Mortality*

Among women who died within three years after BC, the percentage of those who were diagnosed at late stages (III/IV) of BC was higher than those who were still alive three years after BC diagnosis (35.3% vs. 9.8%). The percentages of women with positive progesterone HR status (50.9%) or positive estrogen HR status (62.6%) were lower among women who died with

three years after BC diagnosis as compared to women who were still alive three years after BC diagnosis (68.9% and 80.3% respectively). A higher proportion of women who died within 3 years after BC diagnosis were insulin users as compared to those who were still alive three years after BC diagnosis (34.8% vs. 20.6%). In contrast, a lower proportion of women who died within 3 years after BC diagnosis were metformin user as compared to those who were still alive three years after BC diagnosis (22.6% vs. 37.9%). For other oral diabetes medications, there was no significant association between the number of medications and all-cause mortality. Among women who were alive three years after BC diagnosis, the proportion of women who had received surgery (91.6% vs. 66%) or radiation (41.7% vs. 19.3%) was higher than those who died within the first three years after BC diagnosis. Women who died within three years after BC diagnosis were more likely to have pre-existing COPD, dementia, and depression and were less likely to have hyperlipidemia as compared to those who were alive three years after BC diagnosis.

### **Risk of Death within 3 Years of Diagnosis**

Results from multivariable analyses of incident BC cases with pre-existing diabetes are shown in table 3. In the unadjusted analyses (model 1), there was a significant association between severity of diabetes complications and risk of all-cause mortality. Women who had a severity of diabetes complications with DCSI = 2 &  $DCSI \geq 3$  were 2.06 (HR = 2.06; 95% CI = 1.70-2.48) and 3.30 (HR = 3.30; 95% CI = 2.78-3.92) times respectively, more likely to die within 3 years of BC diagnosis, than women with no diabetes complications.

In Model 2, severity of diabetes-related complications was significantly associated with the risk of all-cause mortality after controlling for diabetes medications, cancer treatment, HR

status, race, age, and other pre-existing comorbid conditions. A severity of diabetes complications with DCSI =1, DCSI =2, and DCSI  $\geq$ 3 was associated with 33% (HR = 1.22; 95% CI = 1.01-1.74), 67% (HR = 1.67; 95% CI = 1.38-2.03), and 127% (HR = 2.27; 95% CI = 1.89-2.73) increase in risk of death, respectively, within 3 years after BC diagnosis as compared to those without diabetes complications.

In Model 3, severity of diabetes-related complications was still significantly associated with the risk of all-cause mortality after controlling for diabetes medications, cancer treatment, HR status, race, age, other pre-existing comorbid conditions, and SEER regions. Women with DCSI =1, DCSI =2, and DCSI  $\geq$ 3 had 34% (HR = 1.34; 95% CI = 1.02-1.75), 69% (HR = 1.69; 95% CI = 1.39-2.05), and 124% (HR = 2.24; 95% CI = 1.86-2.70) increased risk of death within 3 years after BC diagnosis, respectively, as compared to those without diabetes complications. Women who used insulin had 58% (HR = 1.58; 95% CI = 1.35-1.84) increased risk of death, compared to those who did not use insulin after controlling for diabetes severity, other diabetes medications, cancer treatment, cancer characteristics, and other patient's factors. Conversely, women who used metformin had 26% (HR = 0.74; 95% CI = 0.63-0.88) decreased risk of death, compared to those who did not use metformin after controlling for diabetes severity, other diabetes medications, cancer treatment, cancer characteristics, and other patient's factors.

In addition, women who received radiation therapy within the first 6 months after BC diagnosis had 37% (HR = 0.63; 95% CI = 0.52-0.76) decreased risk of death, compared to women who did not receive any radiation therapy after controlling for cancer characteristics, patient's factors, diabetes severity, and diabetes medications. Women receiving surgery within the first 6 months after BC diagnosis had a 55% (HR = 0.45; 95% CI = 0.38-0.53) decrease in

the risk of death, compared to women who having no surgery after adjusting for cancer characteristics, patient's factors, diabetes severity, and diabetes medications.

Women with progesterone HR & estrogen HR positive tumors had a 26% (HR = 0.74; 95% CI, 0.60, 0.92) and 38% (HR = 0.62; 95% CI, 0.49, 0.79) decrease in the risk of death within three years of diagnosis respectively, then women with progesterone HR & estrogen HR negative tumors.

Further, women who had  $\geq 20$  oncologist visits during the first 6 months were 1.39 (HR = 1.39; 95% CI = 1.12-1.72) times more likely to die within 3 years of BC diagnosis, compared to women who had a maximum of 10 oncologist visits. Also, having at least one endocrinologist visit during the first 6 months was associated with 25% (HR=0.75; 95% CI=0.56-0.98) decrease in the risk of death within 3 years of BC diagnosis as compared to women who do not have any endocrinologist visit.

## **DISCUSSION**

### **Overall Findings**

The main finding of this study is that severity of diabetes-related complications is independently associated with all-cause mortality among elderly women with incident BC and pre-existing diabetes, irrespective of diabetes medication, other comorbidities, cancer characteristics, cancer treatment, and other patient-related factors. Women with a DCSI =1 had a 34% increased risk of death, women with a DCSI =2 had a 69% increased risk of death, and women with a DCSI  $\geq 3$  had a 124% increased risk of death within 3 years of BC diagnosis as compared to those without diabetes complications. This indicated that as the severity of diabetes complication increases, the risk of mortality increases. Also, this finding shows that having any

diabetes complications is significantly associated with increased risk of all-cause mortality as compared to women without diabetes complications.

Previous studies have revealed women with diabetes have a higher risk of mortality, compared to women without diabetes (Lipscombe et al., 2008; Luo et al., 2015; Verlato et al., 2003). However, to the best of our knowledge, no research has investigated the influence of diabetes complications severity on the high rates of mortality among incident BC cases using an established index. Thus, this study expands the existing literature by showing in a large population the impact of biological factors and severity of diabetes measured by end-organ damage on this association after controlling for a comprehensive list of factors that could contribute to this association. Studies have shown the significance of using DCSI as a measure of diabetes severity to predict costs, health resource utilizations, hospitalization, and mortality among individuals with diabetes (Chang et al., 2012; Hazel-Fernandez et al., 2015; Young et al., 2008). However, when it comes to cancer prognosis, many biological factors become less important as compared to cancer severity and cancer treatment (Battafarano et al., 2002; Bugge et al., 2016; Shavers & Brown, 2002). Our findings revealed that DCSI was a significant predictor of all-cause mortality among women with incident BC diagnosis even after controlling for diabetes medications, cancer characteristics, cancer treatment, and other patient-related factors.

A study by Young et al showed that in adjusted analyses, having a DCSI =1 or a DCSI = 2 was not significantly associated with risk of all-cause mortality among individual with diabetes while having a DCSI  $\geq 3$  was associated with all-cause mortality (Young et al., 2008). However, our study showed that among a cohort of women with incident BC and pre-existing diabetes, the

association between the severity of diabetes complications and all-cause mortality is significant starting from a DCSI=1.

### **Diabetes Medications, Endocrinologist visits & All-cause Mortality**

In addition to severity of diabetes, using insulin and/or metformin was significantly associated with all-cause mortality after controlling for all possible factors. Metformin use was significantly associated with a 26% decrease in risk of death within three years of BC diagnosis. In contrast, a recent meta-analysis of randomized controlled trials (RCT) data showed that there is no significant impact of metformin on all-cause mortality among individuals with diabetes and cancer (Stevens et al., 2012). However, lack of adjusted estimates, heterogeneity of trials, and certain sources of bias could weaken the conclusion regarding the lack of significant impact of metformin on survival rates among cancer patients who had pre-existing diabetes. Also, a study conducted in Canada among elderly women with incident BC cancer and pre-existing diabetes found that there is no association between metformin use and survival rate (Lega et al., 2013).

Although some of these studies accounted for cancer treatment, other diabetes medications, and/or comorbidity, none of them they controlled for diabetes severity and cancer stage which have significant effects on all-cause mortality, and may have biased the results (Holman, 2007). Therefore, by including a comprehensive list of potential predictors including cancer severity and diabetes severity in our multivariable analyses, our results provided a robust evidence about the positive impact of metformin use on health outcomes of incident BC cases with pre-existing diabetes.

Insulin use was significantly associated with 58% increase in hazard of death within 3 years of BC diagnosis as compared to women who did not use insulin. Generally, insulin helps



the body to regulate the level of blood glucose and helps cells grow, but people with diabetes and obesity usually have high insulin levels (hyperinsulinemia) because their bodies are not sensitive to insulin (insulin-resistance) (Mokdad et al., 2003; Schwartz & Porte, 2005). Many studies have linked the pathophysiology of insulin-resistance to a worse prognosis of BC among obese patients with pre-existing diabetes (Duggan et al., 2011; Goodwin, 2015). Although we controlled for many diabetes-related factors and cancer-related factors, we did not control for obesity which is a possible covariate in this association.

In addition to diabetes medications, endocrinologist visits during the first 6 months of BC diagnosis was a significant predictor of all-cause mortality. Having at least one endocrinologist visits within the first 6 months of BC diagnosis was significantly associated with 26% decrease in all-cause mortality. A previous study suggested that endocrinologist visits provide a better understanding of diabetes and its complications which may have a substantial impact on improvements in glycemic control for poorly controlled diabetes (Salti et al., 2011). However, few incident BC cases and pre-existing diabetes are seen by an endocrinologist (Oppong et al., 2014). In our study, only 398 (9.2%) out of 4307 women with incident BC and pre-existing diabetes had seen an endocrinologist during the first 6 months of BC diagnosis. Our findings showed that contribution of endocrinologists in health care of incident BC cases with pre-existing diabetes may help in improving health outcomes and reducing the risk of death after BC diagnosis. Therefore, our study suggests a comprehensive team approach including endocrinologists for patients with BC and pre-existing diabetes to control diabetes and severity of diabetes-related complications, and thereby reduce their impact on cancer outcomes.

### **Cancer Treatment and All-cause Mortality**

For cancer treatment, a high proportion (86.2%) of the study cohort were treated with surgery within the first 6 months of BC diagnosis, 36% received radiation while a low proportion received chemotherapy (18.0%). This was consistent with previous research that indicated that elderly women with BC and diabetes are less likely to receive chemotherapy as compared to those without diabetes due to increased risk of chemotherapy-related toxicities compared with nondiabetic patients (Gold et al., 2014; Srokowski et al., 2009).

With respect to the adjusted association with all-cause mortality, our results showed that surgery and radiotherapy were associated with 55% and 37% decrease in the risk of all-cause mortality, respectively, within three years of BC diagnosis while there was no significant association between chemotherapy and all-cause mortality. Similar to previous research, radiotherapy use was associated with an improvement in overall survival among incident BC and pre-existing diabetes (Lega et al., 2013). However, our results regarding surgery and all-cause mortality were not consistent with previous studies that showed that women with diabetes were more likely to die within a few months following surgery than those without diabetes (Plodkowski & Edelman, 2001). In our study, after we controlled for insulin use, other diabetes medications, severity of diabetes complications, stage of BC, and all other possible factors, we found that surgery was associated with improvement in survival among women with diabetes as compared to women who did not received surgery.

Unlike previous research that suggested that receipt of chemotherapy may be associated with an increased risk of death (Alenzi & Kelley, 2017; Brunello, Kapoor, & Extermann, 2011; Crawford et al., 2008; Lega et al., 2013; Matias Cdo et al., 2013; Pairs et al., 2011), our findings revealed that chemotherapy was not associated with all-cause mortality after controlling for diabetes severity, cancer severity and all other possible covariates. This may indicate that the

association between chemotherapy-related toxicity and mortality is mediated by diabetes severity since all the previous studies did not control for diabetes severity.

### **Strengths and Limitations**

This study has several potential limitations that should be considered when interpreting the results. First, we lacked data on some biological factors, such as obesity which could have residual confounding effect on the risk of mortality. Second, individuals within the SEER-Medicare data tend to be more urban and affluent and with higher concentrations of racial and ethnic minorities, as compared to the US population (Warren et al., 2002). Third, since we used a claims database instead of medical records to measure DSCI, the index was measured without laboratory results. However, a study by Chang et al. tested the validity of DCSI without laboratory results and they found that the DCSI without laboratory results and the DCSI with laboratory information perform similarly (Chang et al., 2012b). Fourth, socio-demographic characteristics such as income and education were aggregate census level measures, rather than individual level measures. Since we included elderly women who were continuously enrolled in Medicare part D for three months, we could not identify the reception of oral therapies, such as oral chemotherapy (e.g. tamoxifen) during the first 6 months that followed BC screening. Other limitations include lack of other biological information, such as blood glucose level, glycosylated hemoglobin A1c lab results, and diabetes duration.

Despite the potential limitations, the current study has many strengths. First, using a valid and reliable index to measure diabetes severity which enabled us to capture the severity of the disease and its-related complications, assessing its impact on all-cause mortality, and controlling for diabetes severity to assess the adjusted association of other factors with all-cause mortality.

To assess the severity of diabetes-related complications, we used DCSI which captures both the type and severity of diabetes complications while a simple count of complications does not take the severity of each complication into account (Young et al., 2008). In addition to its use as a measure of diabetes severity, a study by Young et al. found that this index may be considered as a proxy measure for diabetes duration (Young et al., 2008). Young et al found that severity index of diabetes complications was highly correlated with diabetes duration, and it attenuated the significant impact of diabetes duration on mortality after it was added to the analysis model (Young et al., 2008). Because diabetes may remain undiagnosed for years, using DCSI as a severity measure of long- term complications probably demonstrates the consequences of biologic markers of diabetes duration (Harris & Eastman, 2000).

In conclusion, both existence and severity of diabetes-related complications are independently associated with all-cause mortality among elderly women with incident BC and pre-existing diabetes as compared to women without diabetes complications. It is essential that incident BC cases with pre-existing diabetes medications receive optimal diabetes care along with cancer therapy through a close collaboration between oncologists and endocrinologists.

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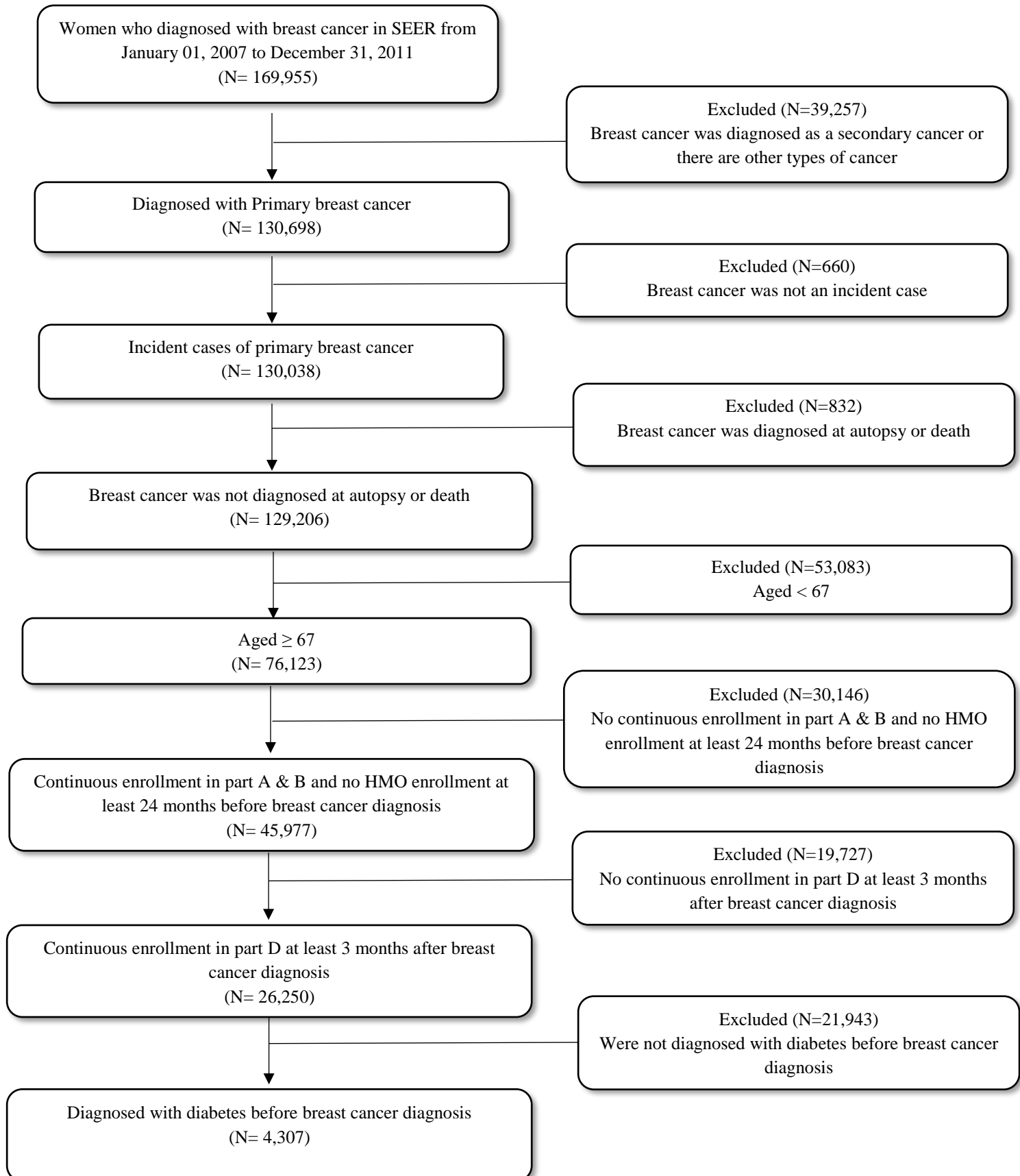
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**Figure 1: Study Cohort Selection Flowchart**



**Table 1: The Characteristics of the Study Cohort\* by Diabetes Complications Severity Index**

Characteristics	All Women, N=4307		DCSI=0, N= 1972		DCSI=1, N= 496		DCSI=2, N= 902		DCSI≥3, N= 937	
	No.	%	No.	%	No.	%	No.	%	No.	%
<b>Insulin use</b>										
Yes	1021	23.7	323	16.4	109	22.0	226	25.1	363	38.7
No	3286	76.3	1649	83.6	387	78.0	676	74.9	574	61.3
<b>Metformin use</b>										
Yes	1490	34.6	833	42.2	209	42.1	252	27.9	196	20.9
No	2817	65.4	1139	57.8	287	57.9	650	72.1	741	79.1
<b>Other diabetes medications</b>										
0	2563	59.5	1165	59.1	285	57.5	528	58.5	585	62.4
1	1403	32.6	637	32.3	168	33.9	301	33.4	297	31.7
≥ 2	341	7.9	170	8.6	43	8.7	73	8.1	55	5.9
<b>Age</b>										
67-70	938	21.8	470	23.8	133	26.8	154	17.1	181	19.3
71-74	1155	26.8	572	29.0	137	27.6	234	25.9	212	22.6
75-79	818	19.0	371	18.8	86	17.3	171	19.0	190	20.3
≥80	1396	32.4	559	28.3	140	28.2	343	38.0	354	37.8
<b>Race</b>										
White	3180	73.8	1479	75.0	364	73.4	689	76.4	648	69.2
African American	724	16.8	283	14.4	77	15.5	134	14.9	230	24.5
Others	403	9.4	210	10.6	55	11.1	79	8.8	59	6.3
<b>Stage at diagnosis</b>										
Early stage	3435	85.1	1600	85.7	406	86.6	715	84.9	714	83.4
Advanced stage	600	14.9	268	14.3	63	13.4	127	15.1	142	16.6
<b>Progesterone receptor status</b>										
Positive	2798	65.0	1297	65.8	335	67.5	592	65.6	574	61.3
Negative	1057	24.5	494	25.1	115	23.2	207	22.9	241	25.7
Borderline/Unknown	452	10.5	181	9.2	46	9.3	103	11.4	122	13.0
<b>Estrogen receptor status</b>										
Positive	3291	76.4	1549	78.5	376	75.8	692	76.7	674	71.9
Negative	611	14.2	267	13.5	77	15.5	118	13.1	149	15.9
Borderline/Unknown	405	9.4	156	7.9	43	8.7	92	10.2	114	12.2
<b>Had chemotherapy</b>										
Yes	776	18.0	387	19.6	94	19.0	146	16.2	149	15.9
No	3531	82.0	1585	80.4	402	81.0	756	83.8	788	84.1
<b>Had radiation</b>										
Yes	1586	36.8	818	41.5	196	39.5	321	35.6	251	26.8
No	2721	63.2	1154	58.5	300	60.5	581	64.4	686	73.2
<b>Had any surgery</b>										
Yes	3705	86.0	1745	88.5	448	90.3	754	83.6	758	80.9
No	602	14.0	227	11.5	48	9.7	148	16.4	179	19.1
<b>Thyroid syndrome</b>										
Yes	1024	23.8	388	19.7	135	27.2	246	27.3	255	27.2
No	3283	76.2	1584	80.3	361	72.8	656	72.7	682	72.8
<b>Arthritis</b>										
Yes	1182	27.4	461	23.4	156	31.5	264	29.3	301	32.1
No	3125	72.6	1511	76.6	340	68.5	638	70.7	636	67.9
<b>Asthma</b>										
Yes	361	8.4	126	6.4	33	6.7	103	11.4	99	10.6
No	3946	91.6	1846	93.6	463	93.3	799	88.6	838	89.4
...Continued										

**Table 1: The Characteristics of the Study Cohort\* by Diabetes Complications Severity Index**

Characteristics	All Women, N=4307		DCSI=0, N= 1972		DCSI=1, N= 496		DCSI=2, N= 902		DCSI≥3, N= 937	
	No.	%	No.	%	No.	%	No.	%	No.	%
<b>COPD</b>										
Yes	630	14.6	158	8.0	45	9.1	183	20.3	244	26.0
No	3677	85.4	1814	92.0	451	90.9	719	79.7	693	74.0
<b>Dementia</b>										
Yes	358	8.3	111	5.6	34	6.9	77	8.5	136	14.5
No	3949	91.7	1861	94.4	462	93.1	825	91.5	801	85.5
<b>Hyperlipidemia</b>										
Yes	3010	69.9	1318	66.8	371	74.8	638	70.7	683	72.9
No	1297	30.1	654	33.2	125	25.2	264	29.3	254	27.1
<b>Hypertension</b>										
Yes	3776	87.7	1589	80.6	445	89.7	836	92.7	906	96.7
No	531	12.3	383	19.4	51	10.3	66	7.3	31	3.3
<b>Osteoporosis</b>										
Yes	337	7.8	146	7.4	41	8.3	82	9.1	68	7.3
No	3970	92.2	1826	92.6	455	91.7	820	90.9	869	92.7
<b>Anxiety</b>										
Yes	435	10.1	143	7.3	62	12.5	114	12.6	116	12.4
No	3872	89.9	1829	92.7	434	87.5	788	87.4	821	87.6
<b>Depression</b>										
Yes	657	15.3	210	10.6	72	14.5	170	18.8	205	21.9
No	3650	84.7	1762	89.4	424	85.5	732	81.2	732	78.1
<b>PCP visit</b>										
Yes	3374	78.3	1505	76.3	384	77.4	727	80.6	758	80.9
No	933	21.7	467	23.7	112	22.6	175	19.4	179	19.1
<b>Endocrinologist visit</b>										
Yes	398	9.2	156	7.9	55	11.1	76	8.4	111	11.8
No	3909	90.8	1816	92.1	441	88.9	826	91.6	826	88.2
<b># of oncologists visits</b>										
0-10	1051	24.4	524	26.6	116	23.4	198	22.0	213	22.7
11-20	1705	39.6	794	40.3	193	38.9	365	40.5	353	37.7
≥ 20	1551	36.0	654	33.2	187	37.7	339	37.6	371	39.6
<b>Availability of oncology centers</b>										
Yes	2967	68.9	1362	69.1	338	68.1	623	69.1	644	68.7
No	1340	31.1	610	30.9	158	31.9	279	30.9	293	31.3
<b>Census tract education</b>										
0-13.29%	1179	27.4	496	25.2	134	27.1	269	29.9	280	29.9
13.30%-22.83%	1288	30.0	608	30.9	133	26.9	258	28.6	289	30.9
22.84%-38.55%	969	22.6	429	21.8	120	24.2	206	22.9	214	22.9
>38.55%	860	20.0	432	22.0	108	21.8	168	18.6	152	16.3
<b>Census tract household income</b>										
< \$25,000	305	7.1	132	6.7	36	7.3	55	6.1	82	8.8
\$25,001-50,000	1882	43.7	809	41.0	219	44.2	410	45.5	444	47.4
\$50,001-75,000	1338	31.1	651	33.0	147	29.6	278	30.8	262	28.0
>\$75,000	782	18.2	380	19.3	94	19.0	159	17.6	149	15.9
<b>SEER region</b>										
Northeast	805	18.7	373	18.9	96	19.4	171	19.0	165	17.6
South	1280	29.7	529	26.8	137	27.6	279	30.9	335	35.8
North-central	658	15.3	307	15.6	81	16.3	115	12.7	155	16.5
West	1564	36.3	763	38.7	182	36.7	337	37.4	282	30.1
<i>...Continued</i>										

**Table 1: The Characteristics of the Study Cohort\* by Diabetes Complications Severity Index**

<b>Characteristics</b>	<b>All Women, N=4307</b>		<b>DCSI=0, N= 1972</b>		<b>DCSI=1, N= 496</b>		<b>DCSI=2, N= 902</b>		<b>DCSI≥3, N= 937</b>	
	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>	<b>No.</b>	<b>%</b>
<b>Metropolitan status</b>										
Metro	3347	77.8	1532	77.8	392	79.0	685	76.0	738	78.8
Urban	824	19.1	379	19.2	86	17.3	188	20.9	171	18.2
Rural	132	3.1	58	2.9	18	3.6	28	3.1	28	3.0

\*A cohort of 4,307 elderly women with incident breast cancer and pre-existing diabetes using SEER-Medicare dataset 2007-2011.

DCSI = Diabetes complications severity index; PCP = Primary care providers;

COPD = Chronic Obstructive Pulmonary Disorder; SEER = Surveillance, Epidemiology, and End Results; BC = Breast cancer.

**Table 2: Description of Elderly Women with Incident Breast Cancer and Pre-existing Diabetes by 3-Year All-cause Mortality, SEER-Medicare 2007–2011**

Variables	Dead		Alive		sig
	N	%	N	%	
<b>Total</b>	939	100	3368	100	
<b>DCSI</b>					***
DCSI = 0	276	29.4	1696	50.4	
DCSI = 1	77	8.2	419	12.4	
DCSI = 2	233	24.8	669	19.9	
DCSI $\geq 3$	353	37.6	584	17.3	
<b>Insulin use in 3 Months after BC Diagnosis</b>					***
Yes	327	34.8	694	20.6	
No	612	65.2	2674	79.4	
<b>Metformin Use in 3 Months after BC Diagnosis</b>					***
Yes	212	22.6	1278	37.9	
No	727	77.4	2090	62.1	
<b>Other Diabetes Medication</b>					
0	579	61.7	1984	58.9	
1	295	31.4	1108	32.9	
$\geq 2$	65	6.9	276	8.2	
<b>Age group</b>					***
67-70	129	13.7	809	24.0	
71-74	170	18.1	985	29.2	
75-79	170	18.1	648	19.2	
$\geq 80$	470	50.1	926	27.5	
<b>Race</b>					***
White	689	73.4	2491	74.0	
African American	193	20.6	531	15.8	
Others	57	6.1	346	10.3	
<b>Stage of BC at Diagnosis</b>					***
Early stage	518	64.7	2917	90.2	
Advanced stage	283	35.3	317	9.8	
<b>Progesterone receptor status</b>					***
Positive	478	50.9	2320	68.9	
Negative	304	32.4	753	22.4	
Borderline/Unknown	157	16.7	295	8.8	
<b>Estrogen receptor status</b>					***
Positive	588	62.6	2703	80.3	
Negative	203	21.6	408	12.1	
Borderline/Unknown	148	15.8	257	7.6	
<b>Had Chemotherapy</b>					*
Yes	194	20.7	582	17.3	
No	745	79.3	2786	82.7	
<b>Had Radiation</b>					***
Yes	181	19.3	1405	41.7	
No	758	80.7	1963	58.3	
<b>Had any Surgery</b>					***
Yes	620	66.0	3085	91.6	
No	319	34.0	283	8.4	
<b>Thyroid Syndrome</b>					
Yes	229	24.4	795	23.6	
No	710	75.6	2573	76.4	
<i>...Continued</i>					

**Table 2: Description of Elderly Women with Incident Breast Cancer and Pre-existing Diabetes by 3-Year All-cause Mortality, SEER-Medicare 2007–2011**

Variables		Dead		Alive		sig
		N	%	N	%	
<b>Arthritis</b>						
	Yes	262	27.9	920	27.3	
	No	677	72.1	2448	72.7	
<b>Asthma</b>						*
	Yes	64	6.8	297	8.8	
	No	875	93.2	3071	91.2	
<b>COPD</b>						***
	Yes	229	24.4	401	11.9	
	No	710	75.6	2967	88.1	
<b>Dementia</b>						***
	Yes	173	18.4	185	5.5	
	No	766	81.6	3183	94.5	
<b>Hyperlipidemia</b>						***
	Yes	538	57.3	2472	73.4	
	No	401	42.7	896	26.6	
<b>Hypertension</b>						
	Yes	840	89.5	2936	87.2	
	No	99	10.5	432	12.8	
<b>Osteoporosis</b>						
	Yes	66	7.0	271	8.0	
	No	873	93.0	3097	92.0	
<b>Anxiety</b>						*
	Yes	115	12.2	320	9.5	
	No	824	87.8	3048	90.5	
<b>Depression</b>						***
	Yes	205	21.8	452	13.4	
	No	734	78.2	2916	86.6	
<b>PCP visit</b>						
	Yes	748	79.7	2626	78.0	
	No	191	20.3	742	22.0	
<b>Endocrinologist visit</b>						*
	Yes	70	7.5	328	9.7	
	No	869	92.5	3040	90.3	
<b>Number of Oncologists visits</b>						***
	0-10	253	26.9	798	23.7	
	11-20	318	33.9	1387	41.2	
	≥ 20	368	39.2	1183	35.1	
<b>Availability of Oncology Treatment Centers</b>						
	Yes	632	67.3	2335	69.3	
	No	307	32.7	1033	30.7	
<b>Census tract education</b>						
	0-13.29%	264	28.2	915	27.2	
	13.30%-22.83%	302	32.3	986	29.3	
	22.84%-38.55%	203	21.7	766	22.8	
	>38.55%	167	17.8	693	20.6	

*...Continued*

**Table 2: Description of Elderly Women with Incident Breast Cancer and Pre-existing Diabetes by 3-Year All-cause Mortality, SEER-Medicare 2007–2011**

Variables	Dead		Alive		sig
	N	%	N	%	
Census tract household income					
< \$25,000	65	6.9	240	7.1	
\$25,001-50,000	441	47.0	1441	42.8	
\$50,001-75,000	278	29.6	1060	31.5	
>\$75,000	155	16.5	627	18.6	
SEER region					**
Northeast	165	17.6	640	19.0	
South	322	34.3	958	28.4	
North-central	149	15.9	509	15.1	
West	303	32.3	1261	37.4	
Metropolitan status					
Metro	725	77.3	2622	77.9	
Urban	183	19.5	641	19.0	
Rural	30	3.2	102	3.0	

DCSI = Diabetes complications severity index; PCP = Primary care providers; COPD = Chronic Obstructive Pulmonary Disorder; SEER = Surveillance, Epidemiology, and End Results; BC = Breast cancer; DM = Diabetes mellitus.

Asterisks represent statistically significant group differences based on  $\chi^2$  tests by stage of BC at diagnosis:

\*\*\*  $p < 0.001$ ; \*\*  $0.001 < p < 0.01$ ; \*  $0.01 < p \leq 0.05$



**Table 3: Association of Diabetes Complication Severity Index with Hazard of 3 Years All-cause Mortality After Breast Cancer Diagnosis among Elderly women with pre-existing Diabetes Mellitus**

Variables	Model 1			Model 2 <sup>a</sup>			Model 3 <sup>b</sup>		
	HR	95% CI	Sig.	HR	95% CI	Sig.	HR	95% CI	Sig.
<b>DCSI</b>									
DCSI = 0	1.00	—		1.00	—		1.00	—	
DCSI = 1	1.21	[ 0.92, 1.58]		1.33	[ 1.01, 1.74]	*	1.34	[ 1.02, 1.75]	*
DCSI = 2	2.06	[ 1.70, 2.48]	***	1.67	[ 1.38, 2.03]	***	1.69	[ 1.39, 2.05]	***
DCSI ≥ 3	3.30	[ 2.78, 3.92]	***	2.27	[ 1.89, 2.73]	***	2.24	[ 1.86, 2.70]	***
<b>Diabetes Medications Use in 3 Months after BC Diagnosis</b>									
Metformin	—	—	—	0.75	[ 0.63, 0.89]	***	0.74	[ 0.63, 0.88]	***
Insulin	—	—	—	1.55	[ 1.33, 1.80]	***	1.58	[ 1.35, 1.84]	***
<b>Cancer Therapy</b>									
Had Chemotherapy	—	—	—	0.98	[ 0.80, 1.18]		0.85	[ 0.69, 1.05]	
Had Radiation	—	—	—	0.69	[ 0.58, 0.83]	***	0.63	[ 0.52, 0.76]	***
Had any Surgery	—	—	—	0.45	[ 0.38, 0.53]	***	0.45	[ 0.38, 0.53]	***
<b>Progesterone receptor status</b>									
Positive	—	—	—	0.75	[ 0.61, 0.92]	**	0.74	[ 0.60, 0.92]	**
Negative	—	—	—	1.00	—		1.00	—	
Borderline/Unknown	—	—	—	0.46	[ 0.20, 1.04]		0.45	[ 0.20, 1.02]	
<b>Estrogen receptor status</b>									
Positive	—	—	—	0.62	[ 0.49, 0.79]	***	0.62	[ 0.49, 0.79]	***
Negative	—	—	—	1.00	—		1.00	—	
Borderline/Unknown	—	—	—	1.72	[ 0.73, 4.03]		1.74	[ 0.74, 4.11]	
<b>Number of Oncologists visits</b>									
0-10	—	—	—	—	—	—	1.00	—	
11-20	—	—	—	—	—	—	0.98	[ 0.81, 1.19]	
≥ 20	—	—	—	—	—	—	1.39	[ 1.12, 1.72]	**
<b>Had Endocrinologist Visit</b>									
Yes	—	—	—	—	—	—	0.74	[ 0.56, 0.98]	*
No	—	—	—	—	—	—	1.00	—	

HR = hazard ratio; CI = Confidence interval; DCSI = Diabetes complications severity index; BC = Breast cancer.

<sup>a</sup> Adjusted for stage of BC at diagnosis, race, age, and comorbid conditions (asthma, COPD, dementia, hyperlipidemia, anxiety, and depression).

<sup>b</sup> Adjusted for stage of BC at diagnosis, race, age, comorbid conditions (asthma, COPD, dementia, hyperlipidemia, anxiety, and depression), and SEER region.

Asterisks represent statistically significant group differences compared with the reference group:

\*\*\* p<0.001; \*\* 0.001 < p <0.01; \* 0.01 < p<0.05

## **CHAPTER FIVE**

## **DISCUSSION**

### **Overview**

The current study was conducted to assess the relationship of severity of pre-existing diabetes complications with persistence with breast cancer (BC) screening, stage of BC at diagnosis, and all-cause mortality of incident BC in elderly women with pre-existing diabetes (Figure1). To accomplish the overall goal of this study, we pursued three main aims.

The first aim was to examine the association between the severity of diabetes complications and persistence with BC screening among elderly women with diabetes, comparing them to women with no diabetes complications. We also assessed the association of possible covariates with persistence with BC screening. These covariates include predisposing factors, enabling factors, need factors, health behaviors and external environmental factors.

The second aim was to explore how the severity of diabetes complications influences the association between diabetes and stage of BC at diagnosis in incident BC cases with pre-existing diabetes. We also investigated the group differences in stage of BC at diagnosis by the cohort characteristics that included mammography screening, biological factors (age, race, hormone receptors (HR) status, and comorbid conditions), and non-biological factors (access to health care and community related factors).

In the third aim, we evaluated the relationship between severity of pre-existing diabetes complications and all-cause mortality within three years of BC diagnosis among elderly women with pre-existing diabetes. In addition, we explored the association of other independent variables: cancer characteristics, patient-related factors, cancer treatment, and diabetes medications with all-cause mortality among incident BC cases with pre-existing diabetes.

In the first aim, we used the 5% random sample of linked Surveillance, Epidemiology, and End Results (SEER)-Medicare data to include elderly women with diabetes who were free of cancer during years 2002 to 2008. This data has been used to assess rates of cancer screening and to address factors associated with lower rates of cancer screening among Medicare populations who are free of cancer (Kagay, Quale, & Smith-Bindman, 2006; McBean & Yu, 2007; White et al., 2011). In the second and third aim, we used SEER-Medicare of breast cancer cases data which is a large national-based data of cancer-related information along with availability of medical claims data. The use of the SEER-Medicare data in the second aim enabled us to identify incident BC cases and assessing all possible risk factors and pre-existing conditions. In the third aim, using SEER-Medicare enabled us to assess the impact of pre-existing conditions on BC outcomes. Also, we linked the data to area health resources file (AHRF) and US census tract information to control for county level variables, such as the availability of oncology centers or the density of mammography facilities in areas of women residence. This cancer registry data that was linked with medical claims in a large population-based database, and linked to county level information enabled us to control for a comprehensive list of possible covariates. This allowed for the assessment of the independent role of the severity of pre-existing diabetes complications on BC screening, stage of BC at diagnosis, and all-cause mortality of incident BC.

This is also the first study to investigate the independent role of the severity of pre-existing diabetes complications on BC spectrum of care: prevention, diagnosis, and prognosis.

### **The Main Findings**

The severity of diabetes-related complications was significantly associated with persistence with BC screening, stage of BC at diagnosis, and all-cause mortality within 3 years of BC diagnosis.

Both the persistence with BC screening among elderly women with diabetes and the risk of death after BC diagnosis in elderly women with pre-existing diabetes were significantly associated with diabetes complication severity index (DCSI) after controlling for all possible covariates. Based on our results from aim 1 and aim3, we found that there is an independent role of diabetes complications severity on lower persistence with BC screening and higher risk of mortality within 3 years of BC diagnosis among elderly women with pre-existing diabetes. Also, having any diabetes complication could predict lower persistence with BC screening and higher risk of death within 3 years after BC, and this impact is increasing as the severity of diabetes complications increases.

In aim 2, the impact of diabetes complication severity on stage of BC at diagnosis was mostly mediated by BC screening, except the association between having a DCSI =2 and stage I of BC at diagnosis. Since women with more severe diabetes complications are less likely to have BC screening, they are more likely to be diagnosed at an advanced-stage of BC as compared to those without diabetes complications.

### **Characteristics of the Cohorts**

For the cohorts' size, the first study included 16,726 of elderly women with diabetes, the second study had 7,729 elderly women with incident BC and pre-existing diabetes, and the last study had 4,307 elderly women with incident BC and pre-existing diabetes. In all cohorts, the majority were white, lived in metro areas, had been diagnosed with early stages (0, I, or II) of BC at diagnosis, and had positive hormone receptor (HR) status. For other comorbid conditions, most all cohorts had hypertension, hyperlipidemia, and thyroid syndrome. From 38.4% to 45.8% of the cohorts had no diabetes complications. For visits to physicians, the majority of all cohorts

had primary care providers (PCP) visits, and a small proportion of women had endocrinologist visits.

### **Other Predictors of Persistence with BC screening, Stage of BC at diagnosis, and Risk of Mortality**

The common variables that were significantly associated with persistence with BC screening, stage of BC at diagnosis, and all-cause mortality were age groups, race, having a pre-existing chronic obstructive pulmonary disorder (COPD), dementia, and hyperlipidemia. Women who had pre-existing COPD and dementia were less likely to be persistent in BC screening, more likely to be diagnosed an advanced stage of BC, and more likely to die within three years of BC diagnosis. Women who had pre-existing hyperlipidemia were more likely to be persistent in BC screening, less likely to be diagnosed in advanced stages of BC, and less likely to die three years of BC diagnosis.

For other factors, having annual PCP visits was associated with significant increase in persistence with BC screening, and decrease in the likelihood of being diagnosed at advanced stages of BC among women with pre-existing diabetes. Also, women who had thyroid syndrome were more likely to be persistent with BC screening and less likely to be diagnosed at advanced stages of BC while women who had arthritis were less likely to be persistent with BC screening and more likely to be diagnosed with advanced stages of BC among women with pre-existing diabetes. Women with positive progesterone HR status were less likely to be diagnosed at advanced stages of BC diagnosis and less likely to die within three years of BC diagnosis.

### **Limitations**

The study should be interpreted in the light of several limitations. For the database, although the SEER-Medicare data provide a population-based database of elderly population, this data lacked information related to mammogram screening covered by Medicare but not billed to Medicare. Also, this data is limited to Medicare enrollees who live in SEER areas, and SEER areas tend to have higher income, and have lower percentages of whites as compared to the U.S. population (Warren et al., 2002). Also, SEER-Medicare data lacked information, such as physical activity and diet which significantly impact diabetes control and cancer outcomes.

Furthermore, in cases where women are non-users or non-persistent in receiving screening mammogram during the 60 months, we could not determine whether the patient had refused to obtain the recommended screening or the primary care physicians had not referred the patient.

Also, although we controlled for many potential factors that are associated with BC stage at diagnosis and all-cause mortality after incident BC, data was lacking on some biological factors, such as obesity, family history of BC, blood glucose level, glycosylated hemoglobin A1c lab results, and diabetes duration which could have had residual confounding effect on the study outcomes. Also, exclusions of incident BC cases with missing stage of BC and others with no continuous enrollment in parts A, B, & D or enrollment in an HMO at any time during the study period may have affected the generalizability of our findings.

### **Strengths**

This was the first study, to the best of the authors' knowledge, that investigated the relationship of diabetes complications severity with persistence with BC screening, stage of BC at diagnosis, and all-cause mortality among elderly women with pre-existing diabetes. To assess

the severity of diabetes-related complications, we used DCSI which captures both the type and severity of complications while a simple count of complications does not take into account the severity of each complication. (Young et al., 2008). DCSI is a valid and reliable index to measure diabetes severity which enabled us to capture the severity of the disease and its-related complications, assessing its impact on study outcomes. In addition to its use as measure of diabetes severity, a study by Young et al. found that this index may be considered as a proxy measure for diabetes duration (Young et al., 2008). Young et al. found that the DCSI was highly correlated diabetes duration, and it attenuated the significant impact of diabetes duration on mortality after it was added to the analysis model (Young et al., 2008). Because diabetes may remained undiagnosed for years, using DCSI as a severity measure of long- term complications probably demonstrate the consequences of biologic markers of diabetes duration (Harris & Eastman, 2000). Moreover, in aim1, women who had any diagnostic code of any type of cancer were excluded to increase the probability that the identified screening mammograms were indeed for screening. In aim 2 and aim3, the (SEER-Medicare) database enabled us to identify incident breast cancer cases and assess all possible risk factors and pre-existing conditions. In addition to assessing diabetes severity, the study accounted for a comprehensive list of biological factors (e.g. comorbid conditions and hormone receptor status) and non-biological factors (e.g. access to health care, and community-related factors) that may impact the associations of interest. Another major strength of this study is the large size of the study cohorts, and this increased the statistical power of the reported results.

## **Conclusion & Future Research**

In short, this study suggests that severity of diabetes complications has a direct association with BC screening, and all cause-mortality of incident BC and has an indirect



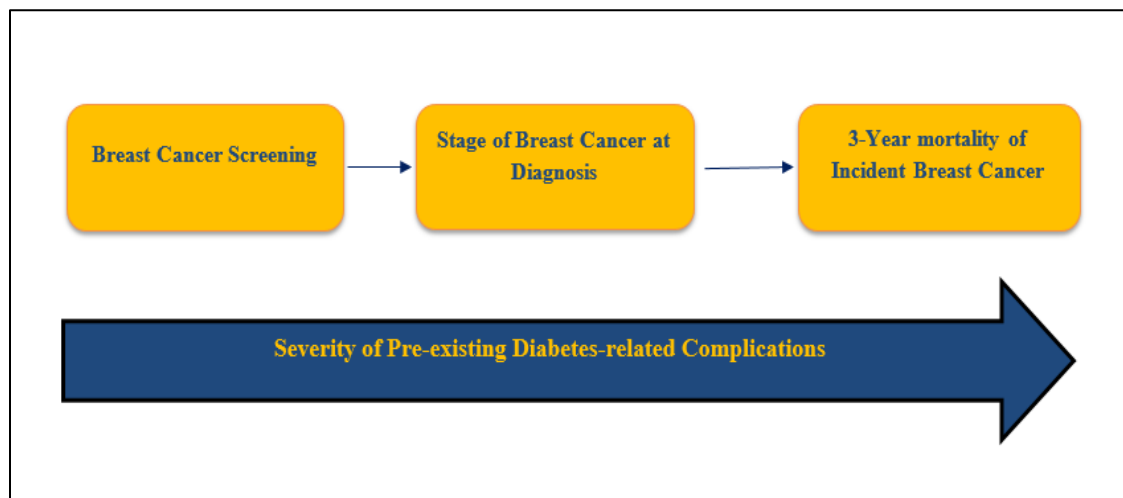
association with stage of BC at diagnosis in elderly women with pre-existing diabetes (figure 2). The results suggest that as severity of diabetes increases, the priority of breast cancer screening decreases. Also, our results revealed that severity of diabetes-related complications is strongly associated with death even after controlling for diabetes medications, cancer treatment, biological characteristics of cancer, and other patient-related factors among incident BC cases. Management of these complications among women with BC requires more than the treatment of BC and controlling blood glucose since these complications include many types of end-organ damage.

Therefore, new strategies of coordination and comprehensive care from a wide variety of providers (e.g. endocrinologists, oncologists, and general practitioners) are needed for elderly women with incident BC and pre-existing diabetes complications who have complex health needs.

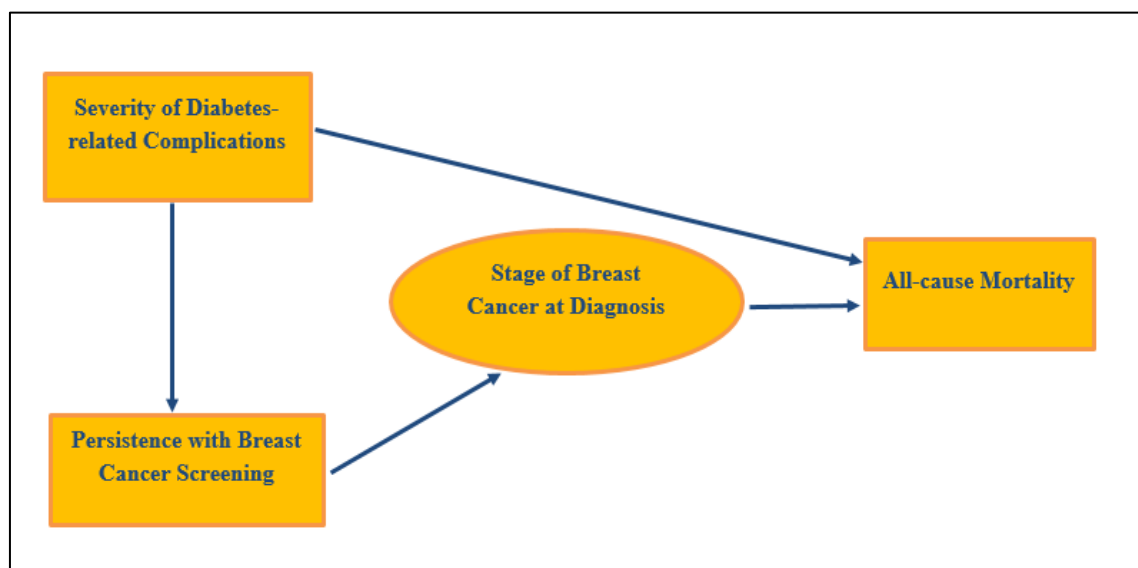
Future research should test this association in other types of cancer. More studies are needed to assess whether improvement in management of diabetes complications leads to improvement in health outcome of cancer patients with pre-existing diabetes.

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**Figure 1: the impact of severity of pre-existing diabetes complications on breast cancer spectrum of care**



**Figure 2: The main suggested associations from the study results**